

APPLICATION FOR WITHDRAWAL

ENTITY INFORMATION

ENTITY NAME: BMO HARRIS FINANCIAL ADVISORS, INC.
ENTITY ID: F12287843
ENTITY TYPE: Foreign For-Profit (Business) Corporation
TAX CLEARANCE CERTIFICATE /
CERTIFICATE OF COMPLIANCE
This withdrawal WILL require a Certificate of YES
Compliance from the Arizona Department of
Revenue because either the for-profit corporation
has commenced business or issued shares, or the
nonprofit corporation has commenced activities, has
members, or has members entitled to vote on
withdrawal.
This withdrawal WILL NOT require a Certificate of NO
Compliance from the Arizona Department of
Revenue because either the for-profit corporation
has not commenced business or has not issued
shares, or the nonprofit corporation has not
commenced activities, does not have members, or
does not have members entitled to vote on
withdrawal.

ADOPTION AND VOTE

Approved by incorporators or board of directors NO
without shareholder action, and shareholder approval
was not required or no shares have been issued
Approved by shareholders but not by voting groups YES
Approved by shareholders and voting groups NO
Approved by voting group(s) only NO

PRINCIPAL OFFICE ADDRESS

111 W MONROE ST , Floor 4E, CHICAGO, IL 60603

SIGNATURE

Secretary: Cindy Salazar - 11/22/2022

GENER.

PURPOSE OF FORM

To apply for *Certificate of Compliance* for either, *Letter of Good Standing*, *Dissolution* or *Withdrawal*

SPECIFIC INSTRUCTIONS

Section 1 - Applicant Information

Enter the applicant's name, as licensed, name of the entity for which the certificate will be issued. The application will be denied if the name does not match the Taxpayer Identification Number or the Federal Employer Identification Number entered. . (A file number per the Arizona Corporation Commissions has no relation to a Federal Employer Taxpayer Identification Number).

Enter the applicant's daytime telephone number with ext., **current** mailing address, city, state and zip code.

Section 2 - Tax Clearance Purpose

Check the box for the type of certificate requested:

A *Certificate of Compliance for Dissolution or Withdrawal* is specifically for dissolution of a corporation or is for an entity wishing to withdraw from Arizona.

A *Letter of Good Standing* request is for the healthy forest certification, air carrier apportionment, personal reason, renewable energy tax incentive, residency, sale of a business, or other reason.

Section 3 - Application Type

Check only one box for the type of entity making the request.

Enter the Federal Employer Identification Number or the Taxpayer Identification Number.

Enter the Arizona Transaction Privilege License Number. If the business does not have one, leave blank.

Enter the Arizona Withholding Tax License Number. If the business does not have one, leave blank.

Estates or Trusts: Enter the Taxpayer Identification Number or Federal Employer Identification Number.

Individuals: Enter your Social Security Number. (*Last six years or prior will be checked*)

Section 4 - Signature

This area is for the signature of the individual taxpayer, the corporate officer, partner or member of the business requesting the certificate.

Print the name of the taxpayer. Print the title of the taxpayer, owner, partner, president, vice president, etc. **Sign and date the application.** The name must be legible.

Unsigned applications will not be processed.

If the application is signed by anyone other than the taxpayer, the application cannot be processed and is considered invalid unless the application is accompanied by an *Arizona Form 285B, Disclosure Authorization*

Form. *Arizona Form 285B* is available on the Arizona Department of Revenue website, **www.azdor.gov**.

Section 5 - Important Information

Power of Attorney

If this application is submitted by anyone other than a corporate officer, general partner, or individual (sole proprietor), Arizona Form 285B, Disclosure Authorization Form, is required. Visit our website at www.azdor.gov and click on the Forms link to obtain Form 285B.

Confidentiality: The department does not release information to anyone other than the taxpayer or a representative as evidenced by a valid power of attorney or disclosure Authorization form on file with the department or with the application.

Denied/Approval Applications: If your application is denied, a denial letter will be sent by mail. Once the deficiencies are cleared, you must resubmit a new application to restart the process. If your application is approved a certificate will be sent by mail.

Processing: Arizona does not have an expedited process for Tax Clearance Applications. An application for a *Letter of Good Standing* (A.R.S.42-1110) takes **15 business days** to process. An application for Dissolution or Withdrawal (A.R.S.43-1151) takes **30 business days** to process. There is no fee for this service.

Compliance: You must be in full compliance with any and all Arizona tax filing and tax payment requirements in order to receive a certificate. All delinquent returns filed and balances must be paid in full prior to filing tax clearance application. If you are on a payment plan this will not suffice, your account needs to be paid in full.

Dissolution and Withdrawal: You must have cancelled all required licenses with the department in order to receive a certificate. You must have filed a final corporate income tax return in order to receive a certificate.

Other Information:

If your business files a consolidated return, a notarized *Letter of Assumption* must be submitted from the ultimate parent company with your application.

If your business is tax exempt or non-profit, you must submit with your application a *Letter of Determination* from the Internal Revenue Service.

Application Submission:

For submission details please see the following Tax Clearance Application form on the next page.


Arizona Department of Revenue • Collections Administrativ

ATTN: TCA Process – 1600 W. Monroe – Phoenix, AZ 85007

Telephone: (602) 716-6234

TAX CLEARANCE APPLICATION
1. Applicant Information:

BUSINESS OR APPLICANT NAME		DAYTIME PHONE NO. (W/ AREA CODE W/EXT.)
CURRENT MAILING ADDRESS		
CITY	STATE	ZIP CODE

2. Tax Clearance Purpose: *Check only one request.*

 REQUEST FOR CERTIFICATE OF COMPLIANCE FOR **DISSOLUTION OR WITHDRAWAL:**

- ☐ Dissolution of Corporation (not applicable to estate, trust, or individual application types)
☐ Withdrawal from Arizona (not applicable to estate, trust, or individual application types)

OR

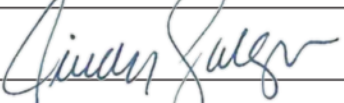
 REQUEST FOR CERTIFICATE OF COMPLIANCE FOR **LETTER OF GOOD STANDING:**

- | | |
|---|---|
| <input type="checkbox"/> Healthy Forest Certification | <input type="checkbox"/> Residency |
| <input type="checkbox"/> Air Carrier Apportionment | <input type="checkbox"/> Sale of Business |
| <input type="checkbox"/> Personal | <input type="checkbox"/> Other: _____ |
| <input type="checkbox"/> Renewable Energy Tax Incentive | |
| <input type="checkbox"/> Gaming | |

3. Application Type: *Check only one box and provide tax identification number(s) that apply.*

- | | |
|--|--|
| <input type="checkbox"/> Corporation | Federal Employer I.D. No./Taxpayer I.D. No. <input type="text"/> |
| <input type="checkbox"/> S Corporation | |
| <input type="checkbox"/> Partnership or L.L.P. | AZ Transaction Privilege License No. <input type="text"/> |
| <input type="checkbox"/> Tax Exempt Organization | |
| <input type="checkbox"/> Limited Liability Company | AZ Withholding Tax License No. <input type="text"/> |
| <input type="checkbox"/> Limited Liability Partnership | |
| <input type="checkbox"/> Estate | Social Security No. <input type="text"/> |
| <input type="checkbox"/> Trust | |
| <input type="checkbox"/> Individual | |
| <input type="checkbox"/> Sole Proprietor | |

4. Signature

PRINT NAME _____
 SIGNATURE  _____

PRINT SPECIFIC TITLE (President, Officer, Partner, Individual) _____

DATE _____

5. How to submit application:
Email completed and signed application to: tca@azdor.gov
Mail or Deliver to:

Arizona Department of Revenue
 Attn: TCA Process
 1600 West Monroe
 Phoenix Arizona 85007

FAX completed and signed application to:

602-542- 4771 Attn: TCA Process

- Be sure to sign the application.
- If your application is denied, you must clear all deficiencies and resubmit the application.
- If this application is submitted by anyone other than a corporate officer, general partner, or individual (sole proprietor), Arizona Form 285B, Disclosure Authorization Form, is required. Visit our website at www.azdor.gov and click on the Forms link to obtain Form 285B.