

STATE OF ARIZONA CORPORATION COMMISSION

CORPORATION ANNUAL REPORT

&

CERTIFICATE OF DISCLOSURE



DUE ON OR BEFORE: 10/10/2020

FILING FEE: \$

PLEASE READ ALL INSTRUCTIONS. The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§ 10-121(A) & 10-3121(A). YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation.

1. File # 09653783

KEITH B. CODY & COMPANY, INC.

155 NAIN STREET, PO BOX 155, TUBA CITY, AZ 86045

Business Phone:

Business Email Address:

State of Domicile: AZ

Type of Corporation: Domestic For-Profit (Business) Corporation

2. Statutory Agent name and address (*MUST both be in Arizona*): TONY S CULLUM

Street or physical address: 14 E DALE AVE, FLAGSTAFF, AZ 86001

Mailing (if different than street):

2.1 If appointing a new statutory agent, the new agent **MUST** consent to the appointment by signing below:

I, (individual) or We (Corporation or limited liability company) having been designated the new Statutory Agent,

do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature of new Statutory Agent

Printed Name of new Statutory Agent

3. Principal Office Address (*street address required; foreign corporations must complete-see Instructions*):

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| <input type="checkbox"/> 1. Accounting | <input type="checkbox"/> 20. Manufacturing |
| <input type="checkbox"/> 2. Advertising | <input type="checkbox"/> 21. Mining |
| <input type="checkbox"/> 3. Aerospace | <input type="checkbox"/> 22. News Media |
| <input type="checkbox"/> 4. Agriculture | <input type="checkbox"/> 23. Pharmaceutical |
| <input type="checkbox"/> 5. Architecture | <input type="checkbox"/> 24. Publishing/Printing |
| <input type="checkbox"/> 6. Banking/Finance | <input type="checkbox"/> 25. Ranching/Livestock |
| <input type="checkbox"/> 7. Barbers/Cosmetology | <input type="checkbox"/> 26. Real Estate |
| <input type="checkbox"/> 8. Construction | <input type="checkbox"/> 27. Restaurant/Bar |
| <input type="checkbox"/> 9. Contractor | <input checked="" type="checkbox"/> 28. Retail Sales |
| <input type="checkbox"/> 10. Credit/Collection | <input type="checkbox"/> 29. Science/Research |
| <input type="checkbox"/> 11. Education | <input type="checkbox"/> 30. Sports/Sporting Events |
| <input type="checkbox"/> 12. Engineering | <input type="checkbox"/> 31. Technology(Computers) |
| <input type="checkbox"/> 13. Entertainment | <input type="checkbox"/> 32. Technology(General) |
| <input type="checkbox"/> 14. General Consulting | <input type="checkbox"/> 33. Television/Radio |
| <input type="checkbox"/> 15. Health Care | <input type="checkbox"/> 34. Tourism/Convention Services |
| <input type="checkbox"/> 16. Hotel/Motel | <input type="checkbox"/> 35. Transportation |
| <input type="checkbox"/> 17. Import/Export | <input type="checkbox"/> 36. Utilities |
| <input type="checkbox"/> 18. Insurance | <input type="checkbox"/> 37. Veterinary Medicine/Animal Care |
| <input type="checkbox"/> 19. Legal Services | <input type="checkbox"/> 38. Other _____ |

NON-PROFIT CORPORATIONS

- | |
|---|
| 1. <input type="checkbox"/> Charitable |
| 2. <input type="checkbox"/> Benevolent |
| 3. <input type="checkbox"/> Educational |
| 4. <input type="checkbox"/> Civic |
| 5. <input type="checkbox"/> Political |
| 6. <input type="checkbox"/> Religious |
| 7. <input type="checkbox"/> Social |
| 8. <input type="checkbox"/> Literary |
| 9. <input type="checkbox"/> Cultural |
| 10. <input type="checkbox"/> Athletic |
| 11. <input type="checkbox"/> Science/Research |
| 12. <input type="checkbox"/> Hospital/Health Care |
| 13. <input type="checkbox"/> Agricultural |
| 14. <input type="checkbox"/> Cooperative Marketing Assoc. |
| 15. <input type="checkbox"/> Animal Husbandry |
| 16. <input type="checkbox"/> Homeowner's Association |
| 17. <input type="checkbox"/> Professional, commercial industrial or trade association |
| 18. <input type="checkbox"/> Other _____ |

5. CAPITALIZATION: (For-profit corporations and Business Trusts are **REQUIRED** to complete this section)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

5.1. Authorized shares/certificates: find the number of authorized shares in the corporation's original Articles of Incorporation.

Number of Shares/Certificates Authorized	Class	Series Within Class (if any)
1000000	COMMON	

Entity Name: KEITH B. CODY & COMPANY, INC.

Entity ID: 09653783

Issued shares/certificates: examine the corporation's minutes for the number of **shares issued**.

Number of Shares/Certificates Issued	Class	Series Within Class (if any)
1,100	Common	

6. SHAREHOLDERS: (For-profit corporations and Business Trusts are **REQUIRED** to complete this section)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

None <input type="checkbox"/>	Keith B. Cody	Name:	Name:
	Starla Crabtree	Name:	Name:

7. OFFICERS (both name and address required):

President: KEITH B CODY - PO BOX 155, TUBA CITY, AZ, 86045, USA - - Date of Taking Office: 02/15/2001

Secretary: ARNOLD BIGHORSE - PO BOX 155, TUBA CITY, AZ, 86045, USA - - Date of Taking Office: 02/15/2001

Shareholder: KEITH B CODY - NOT REQUIRED X X, X, xxxxx, - - Date of Taking Office: 02/15/2001

Vice-President: STARLA CRABTREE - PO BOX 155, TUBA CITY, AZ, 86045, USA - - Date of Taking Office: 02/13/2013

8. DIRECTORS (both name and address required):

Director: ARNOLD BIGHORSE - PO BOX 155, TUBA CITY, AZ, 86045, USA - - Date of Taking Office: 02/15/2001

Director: KEITH B CODY - PO BOX 155, TUBA CITY, AZ, 86045, USA - - Date of Taking Office: 02/15/2001

Director: STARLA CRABTREE - PO BOX 155, TUBA CITY, AZ, 86045, USA - - Date of Taking Office: 02/13/2013

9. FINANCIAL DISCLOSURE (A.R.S. §10-2019) Cooperative marketing associations must submit a financial statement.

FINANCIAL DISCLOSURE (Ark. § 410-2-202) Cooperative members:
All other types of corporations are not required to file a financial statement.

10. ONLY NONPROFIT CORPORATIONS MUST ANSWER THIS QUESTION - MEMBERS (A.R.S. §10-11622(A)(6))

This corporation **DOES** ☐ **DOES NOT** ☐ have members.

11. CERTIFICATE OF DISCLOSURE (A.R.S. §§ 10-202(D), 10-3202(D), 10-1622(A)(8) & 10-11622(A)(7))

A. Has any person who is currently an officer, director, trustee, incorporator, or who, in a For-profit corporation, controls or holds more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:
 - (a) fraud or registration provisions of the securities laws of that jurisdiction, or
 - (b) the consumer fraud laws of that jurisdiction, or
 - (c) the antitrust or restraint of trade laws of that jurisdiction?

Entity Name: KEITH B. CODY & COMPANY, INC.

Entity ID: 09653783

One box must be marked: YES ☐ NO ☒

If "YES" to A, the following information **must be submitted** as an attachment to this report for each person subject to one or more of the actions stated in Items 1 through 3 above.

1. Full birth name.
 2. Full present name and prior names used.
 3. Present home address.
 4. All prior addresses for immediately preceding 7 year period.
 5. Date and location of birth.
 6. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved; and the file or cause number of the case.
- B. Has any person who is currently an officer, director, trustee, incorporator, or who, in a For-profit corporation, controls or holds over 20% of the issued and outstanding common shares, or 20% of any other proprietary, beneficial or membership interest in the corporation, served in any such capacity or held a 20% interest in any other corporation on the bankruptcy or receivership of that other corporation?

One box must be marked: YES ☐ NO ☒

If "YES" to B, the following information **must be submitted** as an attachment to this report for each corporation subject to the statement above.

- (a) Name and address of each corporation and the persons involved.
- (b) State(s) in which it: (i) was incorporated and (ii) transacted business.
- (c) Dates of corporate operation.

12. STATEMENT OF BANKRUPTCY OR RECEIVERSHIP (A.R.S. §§ 10-1623 & 10-11623)

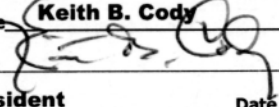
A. Has the **corporation** filed a petition for bankruptcy or appointed a receiver? One box must be marked: YES ☐ NO ☒

If "Yes" to A, the following information **must be submitted** as an attachment to this report:

1. All officers, directors, trustees and major stockholders of the corporation within one year of filing the petition for bankruptcy or the appointment of a receiver. If a major stockholder is a corporation, the statement shall list the current president, chairman of the board of directors and major stockholders of such corporate stockholder. "Major stockholder" means a shareholder possessing or controlling twenty percent of the issued and outstanding shares or twenty percent of any proprietary, beneficial or membership interest in the corporation.
2. Whether any such person has been an officer, director, trustee or major stockholder of any other corporation within one year of the bankruptcy or receivership of the other corporation. If so, for each such corporation give:
 - (a) Name and address of each corporation;
 - (b) States in which it: (i) was incorporated and (ii) transacted business.
 - (c) Dates of operation.

13. SIGNATURES:

I/we declare, under penalty of perjury, that all corporate income tax returns required by Title 43 of the Arizona Revised Statutes have been filed with the Arizona Department of Revenue. I/we further declare under penalty of perjury that I/we have examined this report and the certificate, including any attachments, and to the best of my/our knowledge and belief they are true, correct and complete.

Printed Name <u>Keith B. Cody</u>	Printed Name _____
Signature <u></u>	Signature _____
Title <u>President</u> Date <u>8/13/20</u>	Title _____ Date _____

(Signers must be duly authorized corporate officer(s) listed in section 7 of this report.)

Entity Name: KEITH B. CODY & COMPANY, INC.

Entity ID: 09653783

Annual Report Instruction Sheet - READ ME! PLEASE FOLLOW THESE DIRECTIONS!

NOV 25 2020

TONY S. CULLUM*

RECEIVED

*CERTIFIED BY ARIZONA STATE BAR AS
SPECIALIST IN REAL ESTATE LAW

*ADMITTED TO PRACTICE
IN STATE OF COLORADO

LAW OFFICE OF
Tony S. Cullum, PLLC
ATTORNEY AT LAW
14 EAST DALE AVENUE
FLAGSTAFF, ARIZONA 86001

TELEPHONE
(928) 774-2565
FAX
(928) 774-2009

November 5, 2019

Arizona Corporation Commission
Attn: Annual Reports
1300 West Washington
Phoenix, AZ 85007-2929

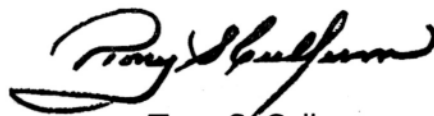
Re: Keith B. Cody & Company, Inc.

Gentlemen:

Enclosed for filing is the 2020 Annual Report for the above corporation.
Also enclosed is the filing fee in the amount of \$45.00.

Thank you for your assistance and please feel free to contact us if you
have any questions.

Sincerely,



Tony S. Cullum

TC:tw
Enclosures

cc: Keith B. Cody & Company, Inc.