

2019 ANNUAL REPORT

ENTITY INFORMATION

ENTITY NAME: BOFA SECURITIES, INC.
ENTITY ID: F20804011
ENTITY TYPE: Foreign For-Profit (Business) Corporation
CHARACTER OF BUSINESS: BANKING/FINANCE
AUTHORIZED SHARES: Share Class: Common Share Series: N/A Share Total: 1,000.00
Share Class: Preferred Share Series: N/A Share Total: 10000.00
ISSUED SHARES: Share Class: Common Share Series: N/A Share Total: 1000.00
Share Class: Preferred Share Series: N/A Share Total: 17.07

STATUTORY AGENT INFORMATION

STATUTORY AGENT NAME: C T CORPORATION SYSTEM
PHYSICAL ADDRESS: 3800 N CENTRAL AVE STE 460 , PHOENIX, AZ 85012
MAILING ADDRESS: 3800 N CENTRAL AVE STE 460 , PHOENIX, AZ 85012

KNOWN PLACE OF BUSINESS

3800 N CENTRAL AVE STE 460 , PHOENIX, AZ 85012

PRINCIPAL OFFICE ADDRESS

THE CORPORATION TRUST COMPANY 1209 ORANGE STREET , WILMINGTON, DE19801

PRINCIPAL INFORMATION

Chairman of the Board of Directors: THOMAS K MONTAG - 150 N COLLEGE ST NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office: 09/01/2015

Director: Andrea B Smith - 150 N College St, NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office:

Director: FABRIZIO GALLO - 150 N COLLEGE ST NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office: 01/13/2016

Director: THOMAS K MONTAG - 150 N COLLEGE ST NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office: 09/01/2015

Secretary: COLLEEN O JOHNSON - 150 N COLLEGE ST NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office: 03/29/2016

Treasurer: Walter R Louis - 150 N COLLEGE ST NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office: 09/01/2015

Vice-President: ERIK MILLER - 150 N COLLEGE ST; NC1-028-17-06, CHARLOTTE, NC 28255, USA - - Date of Taking Office: 08/08/2011

SIGNATURE

Vice-President: Erik Miller - 03/12/2019