



00509909



STATE OF ARIZONA CORPORATION COMMISSION CORPORATION ANNUAL REPORT & CERTIFICATE OF DISCLOSURE

DUE ON OR BEFORE 10/29/2001

FY01-02

FILING FEE \$10.00

The following information is required by A.R.S. §§10-1022 & 10-11022 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§10-121.A. & 10-3121.A. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

1. F-0758057-6
RECORDING FOR THE BLIND AND DYSLEXIC, IN
14807 N 73RD ST #103
SCOTTSDALE, AZ 85260

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JUN 10 2002

MAR 13 2002

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

Business Phone: [REDACTED]

State of Domicile: NEW JERSEY

Type of Corporation: NON-PROFIT

A.C.C. CORPORATIONS DIV.
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APR 12 2002

DOCUMENTS ARE SUBJECT
TO REVIEW BEFORE FILING

2. Arizona Statutory Agent: LINDA S WOOD
Street Address: 14807 N 73RD ST #103
SCOTTSDALE, AZ 85260
City, State, Zip:

Use this box only if appointing a new Statutory Agent

ACC USE ONLY

Fee \$ 10

Penalty \$

Reinstatement \$

Expedites \$

Resubmit \$

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature of new Statutory Agent

3. Secondary Address: 20 ROSEEL RD
PRINCETON, NJ 08540

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|------------------------|--------------------------------------|
| 1. Accounting | 20. Manufacturing |
| 2. Advertising | 21. Mining |
| 3. Aerospace | 22. News Media |
| 4. Agriculture | 23. Pharmaceuticals |
| 5. Architecture | 24. Publishing/Printing |
| 6. Banking/Finance | 25. Ranching/Livestock |
| 7. Barber/Cosmetology | 26. Real Estate |
| 8. Construction | 27. Restaurant/Café |
| 9. Contractor | 28. Retail Sales |
| 10. Credit Collection | 29. Science/Research |
| 11. Education | 30. Sports/Sporting Events |
| 12. Engineering | 31. Technology (Computers) |
| 13. Entertainment | 32. Technology (General) |
| 14. General Consulting | 33. Television/Radio |
| 15. Health Care | 34. Tourism/Convention Services |
| 16. Hotel/Motel | 35. Transportation |
| 17. Import/Export | 36. Utilities |
| 18. Insurance | 37. Veterinary Medicine/Veteral Care |
| 19. Legal Services | 38. Other |

NON-PROFIT CORPORATIONS

- | |
|---|
| 1. — Charitable |
| 2. — Benevolent |
| 3. ☒ Educational |
| 4. — Civic |
| 5. — Political |
| 6. — Religious |
| 7. — Social |
| 8. — Literary |
| 9. — Cultural |
| 10. — Athletic |
| 11. — Science/Research |
| 12. — Hospital/Health Care |
| 13. — Agricultural |
| 14. — Animal Husbandry |
| 15. — Homeowner's Association |
| 16. — Professional, commercial
Industrial or trade association |
| 17. — Other |

5. CAPITALIZATION:

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized	Class	Series Within Class (if any)

6. SHAREHOLDERS:

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation. Please Type or Print Clearly.

Name: _____ Name: _____
 NONE ☐ Name: _____ Name: _____

7. OFFICERS Please Type or Print Clearly.

Name: <u>Pls see schedule #1</u>	Name: _____
Title: _____	Title: _____
Address: _____	Address: _____
Date taking office: _____	Date taking office: _____
Name: _____	Name: _____
Title: _____	Title: _____
Address: _____	Address: _____
Date taking office: _____	Date taking office: _____

8. DIRECTORS Please Type or Print Clearly.

Name: _____	Name: _____
Address: _____	Address: _____
Date taking office: _____	Date taking office: _____
Name: _____	Name: _____
Address: _____	Address: _____
Date taking office: _____	Date taking office: _____

***Recording for the Blind & Dyslexic, Inc
Bank Information and Officer's List***

<u>NAME& ADDRESS</u>	<u>TITLE</u>
Richard O. Scribner 20 Roszel Road Princeton, NJ. 08540	President & CEO (responsible for custody of funds) (authorized to sign checks)
Vernon A. Bramble 20 Roszel Road Princeton, NJ. 08540	Exec. V. P. Operations, Finance & Admin (authorized to sign checks) (responsible for custody of financial records)
Barbara Vanderkolk 20 Roszel Road Princeton, NJ. 08540	Sr. V. P. Financial Development & Public Affairs (responsible for fundraising) (authorized to sign checks)
John Kelly 20 Roszel Road Princeton, NJ. 08540	Sr. V. P. Consumer Services (authorized to sign checks)
John Churchill 20 Roszel Road Princeton, NJ. 08540	Sr. V. P. Operations (authorized to sign checks)
Carolyn Harper 20 Roszel Road Princeton, NJ. 08540	V. P. Unit Support
Peter Beran 20 Roszel Road Princeton, NJ. 08540	Sr. V.P. Information Technology

Bank Funds are Deposited:

Fleet Bank
301 Carnegie Center, Princeton, NJ 08540
(800) 282-2265
Account #: 4208024462

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**ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION**

12/4/01

NATIONAL BOARD OF DIRECTORS: FY 2002

Chairman:	James T. Helwig
Vice Chairman:	Karna Bodman
Vice Chairman:	Celeste V. Lopes, Esq.
Secretary:	Marshall Loeb
Treasurer:	Thomas W. Trainor
Recording Secretary:	

(Please report any changes to Recording Secretary)

J. William Anderson
Brown Brothers Harriman & Co.
525 Washington Boulevard
Jersey City, New Jersey 07310

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Ms. JoAnn Bitting
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Home Phone: 650-598-9725
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Mrs. Karna Bodman
4930 Loughboro Road, N.W.
Washington, D.C. 20016

(Mid-May to Oct)

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Email: karna@vmgroup.com

3007 Rum Row
Naples, Florida 34102

(Nov to Mid-May)

Home Phone: 941-430-8540
Fax: 941-430-8542

Richard V. Cox, Ph.D.
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180 Park Avenue
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383 Madison Avenue
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Mr. James M. Gwynn
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(Mid-May to Mid-Sept)

Home Phone: 828-787-1903
Fax: 828-787-1264

2. F-0758057-6

Mr. James T. Helwig
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960 Cape Marco Drive - #1305
Marco Island, Florida 34145

Home Phone: 843-216-7655
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Email: jthelwig@mindspring.com

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Mr. Russel H. Herman
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Mr. Marshall Loeb
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(Oct-June)

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Mexico Home Fax: 011-52-114-45483

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1155 15th Street, N.W. - Suite 902
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F-0758057-6

Mr. Robert F. Nootbaar
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REF&D DIRECTORS EMERITI

Mr. and Mrs. John W. (Arminda) Castles, 3d
6 Shell Fish Lane
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Phone: 843-987-2794

May-Mid Oct.: Box 159, Upper Hollow Road
Dorset, Vermont 05251

Phone: 802-867-5379

Mr. Allen H. Merrill
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New York, New York 10021

Phone: 212-737-7952

Mr. Stanley DeJ. Osborne
One East End Avenue
New York, New York 10021

Phone: 212-288-3404

Mr. Bronson Tweedy
6412 Garnett Drive
Chevy Chase, Maryland 20815

Phone: 301-654-7780

RECORDING FOR THE BLIND & DYSLEXIC, INC.

Statement of Financial Position

June 30, 2001
(with comparative financial information
as of June 30, 2000)

Assets	2001	2000
Cash and cash equivalents	\$ 6,400,527	5,674,335
Investments (note 2)	51,390,534	53,846,779
Grants and other receivables	3,201,352	3,500,656
Contributions receivable (note 6)	961,156	748,086
Prepaid expenses and other assets	700,078	534,879
Property and equipment, net (note 3)	11,529,917	10,610,628
Total assets	\$ 74,183,564	74,915,363
Liabilities and Net Assets		
Liabilities:		
Accounts payable and accrued expenses	\$ 2,747,487	2,016,035
Net assets:		
Unrestricted:		
Operating	8,556,626	8,441,921
Unit long-term investment (note 5)	14,598,899	15,097,614
Board designated long-term investment (note 5)	23,822,177	25,640,506
Unrealized appreciation on investments	2,926,282	3,805,000
Investment in property and equipment	11,529,917	10,610,628
Total unrestricted	61,433,901	63,595,669
Temporarily restricted (note 5)	4,379,143	3,790,137
Permanently restricted (note 5)	5,623,033	5,513,522
Total net assets	71,436,077	72,899,328
Total liabilities and net assets	\$ 74,183,564	74,915,363

See accompanying notes to financial statements.

RECORDING FOR THE BLIND & DYSLEXIC, INC.

Statement of Activities

Year ended June 30, 2001
(with summarized financial information for the year ended June 30, 2000)

	Unrestricted	Temporarily restricted	Permanently restricted	Totals	
				2001	2000
Revenues and other support:					
Contributions and private grants	\$ 8,595,327	1,433,706	109,461	10,138,494	8,737,991
Legacies and trusts	3,042,139	216,907	—	3,259,046	2,376,038
Government grants	9,815,959	2,228,010	—	12,043,969	7,242,210
Donated services (note 4)	15,823,435	—	—	15,823,435	15,936,244
Special events	1,002,027	—	—	1,002,027	1,031,905
Interest and dividends	1,987,904	34	50	1,987,988	1,569,256
Fees and product sales	4,345,786	—	—	4,345,786	4,297,441
Other revenues	397,469	—	—	397,469	883,768
Total revenues	45,010,046	3,878,657	109,511	48,998,214	42,074,853
Net assets released from restrictions (note 7)	3,289,651	(3,289,651)	—	—	—
Total revenues and other support	48,299,697	589,006	109,511	48,998,214	42,074,853
Expenses:					
Program services:					
Recording studio	20,707,010	—	—	20,707,010	20,222,490
Production	6,756,222	—	—	6,756,222	5,197,015
Services coordination	8,777,060	—	—	8,777,060	6,791,239
Total program services	36,240,292	—	—	36,240,292	32,210,744
Supporting services:					
Administration	4,519,117	—	—	4,519,117	4,476,688
Fundraising	4,076,861	—	—	4,076,861	3,879,826
Public affairs	1,406,151	—	—	1,406,151	1,337,268
Total supporting services	10,002,129	—	—	10,002,129	9,693,782
Total expenses	46,242,421	—	—	46,242,421	41,904,526
Excess of revenues and other support over expenses before losses on investments	2,057,276	589,006	109,511	2,755,793	170,327
Net unrealized and realized losses on investments	(4,219,044)	—	—	(4,219,044)	(598,350)
Increase (decrease) in net assets	(2,161,768)	589,006	109,511	(1,463,251)	(428,023)
Net assets as of beginning of year	63,595,669	3,790,137	5,513,522	72,899,328	73,327,351
Net assets as of end of year	\$ 61,433,901	4,379,143	5,623,033	71,436,077	72,899,328

See accompanying notes to financial statements.



Princeton Pike Corporate Center
P.O. Box 7348
Princeton, NJ 08543-7348

Independent Auditors' Report

The Board of Directors
Recording for the Blind & Dyslexic, Inc.:

We have audited the accompanying statement of financial position of Recording for the Blind & Dyslexic, Inc. as of June 30, 2001, and the related statements of activities and cash flows for the year then ended. These financial statements are the responsibility of the Organization's management. Our responsibility is to express an opinion on these financial statements based on our audit. The prior year summarized comparative information has been derived from the Organization's 2000 financial statements and, in our report dated October 18, 2000, we expressed an unqualified opinion on those financial statements.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Recording for the Blind & Dyslexic, Inc. as of June 30, 2001, and the changes in its net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Our audits were made for the purpose of forming an opinion on the basic financial statements taken as a whole. The supplementary information included in Schedules 1 and 2 is presented for purposes of additional analysis of the basic financial statements rather than to present the financial position and changes in net assets of headquarters and all units of the Organization. The supplementary information included in Schedule 3 is presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audits of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

KPMG LLP

October 18, 2001



KPMG LLP, KPMG LLP, a U.S. limited liability partnership, is
a member of KPMG International, a Swiss association

Please Enter Corporation Name: Recording for the Blind & dyslexic, Inc.

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9. **FINANCIAL DISCLOSURE** (A.R.S. §§10-1822.B & 10-11622.A.9) F-0758057-6
Nonprofit corporations must attach a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

To follow....

9A. **MEMBERS** (A.R.S. § 10-11622.A.8) Corporate Income Tax Return

This corporation **does** ☐ **does not** ☒ have members.

10. **CERTIFICATE OF DISCLOSURE** (A.R.S. §§10-1822.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:
[Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or legislation provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

YES ☐

NO ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|--|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved; and the file or cause number of the case. |
| 4. Prior addresses for immediate past three years. | |

11. **STATEMENT OF BANKRUPTCY** (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? [Underlined portion pertains to profit corporations only]

YES ☐

NO ☒

Chapter _____ Date Filed _____ Case Number _____

12. SIGNATURES

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Richard D. Scribner Date 10/9/01 Name Vernon A. Brable Date 10/9/01

Signature Richard D. Scribner Signature [Signature]

Title President & CEO Title EVP, Prod., Finance & Administration

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)