



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission



00360386

DUE ON OR BEFORE 10/14/2001

FY01-02

FILING FEE \$10.00

The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§10-121.A. & 10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

1. -0048568-0
UNIVERSITY OF ARIZONA ALUMNI ASSOCIATION
FOUNDATION /ALUMNI BLDG #202
1111 N CHERRY AVE
TUCSON, AZ 85721

RECEIVED

OCT 09 2001

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

Business Phone: _____ (Business phone is optional)
State of Domicile: **ARIZONA** Type of Corporation: **NON-PROFIT**

2. Arizona Statutory Agent: ~~XXXXXXXXXXXX~~ Sandra King Ruhl
Street Address: ~~XXXXXXXXXXXXXXXXXXXXXXXXXXXX~~ 7030 N. Paloma Drive
~~TUCSON, AZ 85704~~ Tucson AZ 85704
City, State, Zip:

Use this box only if appointing a new Statutory Agent

ACC USE ONLY

Fee \$ 16
Penalty \$ _____
Reinstate \$ _____
Expedite \$ _____
Resubmit \$ _____

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below:

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Sandra King Ruhl
Signature of new Statutory Agent

- 299813
3. Secondary Address:

(Foreign Corporations are
REQUIRED to complete
this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| ☐ 1. Accounting | ☐ 20. Manufacturing |
| ☐ 2. Advertising | ☐ 21. Mining |
| ☐ 3. Aerospace | ☐ 22. News Media |
| ☐ 4. Agriculture | ☐ 23. Pharmaceutical |
| ☐ 5. Architecture | ☐ 24. Publishing/Printing |
| ☐ 6. Banking/Finance | ☐ 25. Ranching/Livestock |
| ☐ 7. Barbers/Cosmetology | ☐ 26. Real Estate |
| ☐ 8. Construction | ☐ 27. Restaurant/Bar |
| ☐ 9. Contractor | ☐ 28. Retail Sales |
| ☐ 10. Credit/Collection | ☐ 29. Science/Research |
| ☐ 11. Education | ☐ 30. Sports/Sporting Events |
| ☐ 12. Engineering | ☐ 31. Technology(Computers) |
| ☐ 13. Entertainment | ☐ 32. Technology(General) |
| ☐ 14. General Consulting | ☐ 33. Television/Radio |
| ☐ 15. Health Care | ☐ 34. Tourism/Convention Services |
| ☐ 16. Hotel/Motel | ☐ 35. Transportation |
| ☐ 17. Import/Export | ☐ 36. Utilities |
| ☐ 18. Insurance | ☐ 37. Veterinary Medicine/Animal Care |
| ☐ 19. Legal Services | ☐ 38. Other _____ |

NON-PROFIT CORPORATIONS

- | |
|--|
| ☐ 1. Charitable |
| ☐ 2. Benevolent |
| ☒ 3. Educational |
| ☐ 4. Civic |
| ☐ 5. Political |
| ☐ 6. Religious |
| ☐ 7. Social |
| ☐ 8. Literary |
| ☐ 9. Cultural |
| ☐ 10. Athletic |
| ☐ 11. Science/Research |
| ☐ 12. Hospital/Health Care |
| ☐ 13. Agricultural |
| ☐ 14. Animal Husbandry |
| ☐ 15. Homeowner's Association |
| ☐ 16. Professional, commercial
industrial or trade association |
| ☐ 17. Other _____ |

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized Class Series Within Class (if any)

Number of Shares/Certificates Issued Class Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation. **Please Type or Print Clearly.**

Name: _____ Name: _____

NONE ☐

Name: _____ Name: _____

7. OFFICERS Please Type or Print Clearly.Name: Carolyn KemmeriesName: Tom KeatingTitle: Chair of the BoardTitle: Chair Elec of the BoardAddress: 3835 Via de los CamposAddress: 4740 E. Sunrise Drive #384Tucson Az 85711Tucson AZ 85718Date taking office: 9-22-01Date taking office: 9-22-01Name: Sandra King RuhlName: Rod ClevelandTitle: PresidentTitle: Vice ChairAddress: 7030 N. Paloma DriveAddress: 10762 N. Pomegranate DriveTucson AZ 85704Tucson AZ 85737Date taking office: 9-22-01Date taking office: 9-22-01**8. DIRECTORS** Please Type or Print Clearly.Name: Lela AlstonName: Gilbert "Wally" ChesterAddress: 69 W. WillettaAddress: 7307 N. Central AvenuePhoenix AZ 85003Phoenix AZ 85020Date taking office: 9-22-01Date taking office: 9-22-01Name: Kay BrownName: Chris LittlefieldAddress: 361 N. NightfallAddress: 10989 E. Tierra DriveTucson AZ 85748Scottsdale AZ 85259Date taking office: 9-22-01Date taking office: 9-22-01

Arizona Exempt Organization Annual Information Return

1999

For taxable year beginning 07 / 01 / 1999, and ending 06 / 30 / 2000.

Mail to: Arizona Department of Revenue, PO Box 29079, Phoenix AZ 85038-9079

CHECK ONE
Original ☒ Amended ☐

CHECK ONE
Calendar year ☐ Fiscal year ☒

Business telephone number (520) 621-4846	Please print or type	Name The University of Arizona Alumni Association	Federal employer ID number (FEIN) 86-6052716
		Number and street 1111 North Cherry Avenue	AZ withholding tax number 07-476794-A
		City or town, state and ZIP code Tucson AZ 85721	AZ transaction privilege tax number

Check box if: ☐ This is a first return ☐ Name change ☐ Address change

A Date Arizona operations began ____ / ____ / 1897

B Date of letter granting exemption from Arizona income tax ____ / ____ / ____

C Nature of Arizona activities advertising and travel

D Check federal form filed: ☒ 990 ☐ 990-EZ ☐ Other (specify) copy attached

Attach copy of federal return.

For DOR use only

[81]

[66]

Sources of Income	1	Gross sales or receipts from business activities	1		00
	2	Less: Cost of goods sold or of operations - attach itemized statement	2		00
	3	Gross profit from business activities - subtract line 2 from line 1	3		00
	4	Interest	4		00
	5	Dividends	5		00
	6	Rents and royalties	6		00
	7	Gain or (loss) from sale of assets, excluding inventory items	7		00
	8	Dues, assessments, etc., from members	8		00
	9	Dues, assessments, etc., from affiliated organizations	9		00
	10	Contributions, gifts, grants, etc., received	10		00
	11	Other income - attach itemized statement	11		00
	12	Total income - add lines 3 through 11	12		00
Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	13		00
	14	Salaries and wages - other than amounts included on line 2	14		00
	15	Interest	15		00
	16	Taxes	16		00
	17	Rent expense	17		00
	18	Depreciation - attach schedule	18		00
	19	Miscellaneous expenses - attach itemized statement	19		00
	20	Total expenses - add lines 13 through 19	20		00
Disbursements from Current Income for the Organization's Exempt Purposes	21	Dues, assessments, etc., to affiliated corporations	21		00
	22	Contributions, gifts, grants, etc., paid	22		00
	23	Benefit payments to or for members or their dependents:			
	a. Death, sickness, hospitalization, disability, or pension benefits	23a		00	
	b. Other benefits	23b		00	
	24	Dividends and other distributions to members, shareholders, or depositors	24		00
25	Other	25		00	
26	Total - add lines 21 through 25	26		00	
Disbursements from Principal for the Organization's Exempt Purposes	27	Dues, assessments, etc., to affiliated corporations	27		00
	28	Contributions, gifts, grants, etc., paid	28		00
	29	Benefit payments to or for members or their dependents:			
	a. Death, sickness, hospitalization, disability, or pension benefits	29a		00	
	b. Other benefits	29b		00	
	30	Dividends and other distributions to members, shareholders, or depositors	30		00
31	Other	31		00	
32	Total - add lines 27 through 31	32		00	
Other	33	Other disbursements not itemized above - attach schedule	33		00
Accumulation of income	34	Accumulation of income in current year - line 12 minus the sum of lines 20, 26, 32, and 33	34		00
	35	Accumulation of income at beginning of year	35		00
	36	Accumulation of income at end of year - add lines 34 and 35	36		00
Penalty	37	Penalty for late filing or incomplete filing (\$500.00)	37		00

THE EXEMPT ORGANIZATION IS SUBJECT TO A \$500 PENALTY IF THIS RETURN IS FILED LATE OR HAS NOT BEEN COMPLETED. ARS § 42-1125.J

002185685

Schedule A - Balance Sheet

Note: Amounts used in attached schedules and in this column should be end of year amounts.

		(a) Beginning of year		(b) End of year	
Assets					
A1	Cash		00	A1	00
A2a	Accounts receivable	A2a	00		
b	Less: allowance for doubtful accounts	A2b	00	A2c	00
A3a	Other notes and loans receivable - attach schedule	A3a	00		
b	Less: allowance for doubtful accounts	A3b	00	A3c	00
A4	Inventories		00	A4	00
A5	Investments (securities) - attach schedule		00	A5	00
A6	Investments (other) - attach schedule		00	A6	00
A7a	Land, buildings, and equipment; basis	A7a	00		
b	Less: accumulated depreciation - attach schedule	A7b	00	A7c	00
A8	Other assets - describe		00	A8	00
A9	Total assets - add lines A1 through A8		00	A9	00
Liabilities					
A10	Accounts payable and accrued expenses		00	A10	00
A11	Mortgages and other notes payable - attach schedule		00	A11	00
A12	Other liabilities - describe		00	A12	00
A13	Total liabilities - add lines A10 through A12		00	A13	00
Net Assets					
A14	Capital stock or trust principal		00	A14	00
A15	Paid-in or capital surplus		00	A15	00
A16	Retained earnings or accumulated income		00	A16	00
A17	Total net assets - add lines A14 through A16		00	A17	00
A18	Total liabilities and net assets - add lines A13 and A17		00	A18	00

Certification

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is a true, correct and complete return, made in good faith, for the taxable year stated pursuant to the income tax laws of the State of Arizona.

Please
Sign here

Signature of officer

Date

Title

Paid

Preparer's
Use Only

Preparer's signature

Date

Firm's name (or preparer's, if self-employed)

888888

Preparer's TIN

Firm's address

ZIP code

Part IV Balance Sheets (See Specific Instructions on page 23.)**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A) Beginning of year		(B) End of year
Assets	45 Cash—non-interest-bearing	31,547	45	42,089
	46 Savings and temporary cash investments	548,085	46	406,236
	47a Accounts receivable			
	b Less: allowance for doubtful accounts		47c	
	48a Pledges receivable			
	b Less: allowance for doubtful accounts		48c	
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
	51a Other notes and loans receivable (attach schedule)			
	b Less: allowance for doubtful accounts		51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges		53	
	54 Investments—securities (attach schedule) ☐ Cost ☐ FMV	2,091,665	54	2,071,673
	55a Investments—land, buildings, and equipment: basis			
	b Less: accumulated depreciation (attach schedule)		55c	
56 Investments—other (attach schedule)		56		
57a Land, buildings, and equipment: basis				
b Less: accumulated depreciation (attach schedule)		57c		
58 Other assets (describe Foundation & University held funds)	2,786,362	58	2,950,935	
59 Total assets (add lines 45 through 58) (must equal line 74)	5,457,659	59	5,470,933	
Liabilities	60 Accounts payable and accrued expenses		60	
	61 Grants payable		61	
	62 Deferred revenue		62	
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63	
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		64b	
	65 Other liabilities (describe)		65	
66 Total liabilities (add lines 60 through 65)		66		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☐ and complete lines 67 through 69 and lines 73 and 74.			
	67 Unrestricted		67	
	68 Temporarily restricted		68	
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 70 through 74.			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds	5,457,659	72	5,470,933
73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72; column (A) must equal line 19 and column (B) must equal line 21)	5,457,659	73	5,470,933	
74 Total liabilities and net assets / fund balances (add lines 66 and 73)	5,457,659	74	5,470,933	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

00485650

Jim Allen '48 (2003) Laurel
Home: 318 Norfolk Street
Cambria, California 93428-2711
(805) 927-3637
jjlinc.@tcsn.net

Lela Alston '67 (2002)
Home:* 69 W. Willetta
Phoenix, Arizona 85003
(602) 278-2002
(602) 278-7557 - Fax
Office: Phoenix Union High School
Bostrom High School/Alternative Ctr.
3601 N. 27 Avenue
Phoenix, Arizona 85017
(Instructor/Wellness Center Coord.)

IMMEDIATE PAST CHAIR

David Areghini '65 (2002) Dede
Home:* 228 W. Royal Palm Road
Phoenix, Arizona 85021
(602) 870-1707
(602) 870-1707 fax
Office: Salt River Project
P.O. Box 52025
Phoenix, Arizona 85072-2025
(602) 236-5949
(602) 236-2881 - Fax
dgareghi@srpnet.com
(Associate Gen. Manager)

BOBCAT CLUB PRESIDENT

Bradford Beake 2002 (2002)
Home:* 3360-2 N. Mountain Avenue
Tucson, Arizona 85719
(520) 318-0512
(602) 330-1678 - Cell
btbeake@u.arizona.edu

J.C. Bogle '80 (2002) Kathy
Home:* 275 W. San Marcos Drive
Chandler, Arizona 85225
(480) 963-7833
Office: Bogle Farms I. LLC
2020 N. Arizona Avenue, Suite G-92
Chandler, Arizona 85226
(480) 963-3322
(480) 963-3384 - Fax
jbogle@uswest.net
(Manager)

Jerry Bott '64 (2003) Susan
Home: * 13703 Shipwatch Drive
Jacksonville, Florida 32225
(904) 221-8728
(904) 221-8661 - Fax
Office: Merrill Lynch and Company
50 North Laura Street, #3700
Jacksonville, Florida 32202
(904) 634-6153
(904) 353-0430 - Fax
gfb341@aol.com
(First Vice President)

COLLEGE OF ARCHITECTURE

Kay Brown '83, '90 (2002) Barry
Home: 361 North Nightfall
Tucson, Arizona 85748
(520) 886-9628
Office: * T.L. Roof & Associates
710 Campbell Avenue
Tucson, Arizona 85719
(520) 792-0734
(520) 792-0958 - Fax
(Project Manager)
kbrown@oneilind.com

**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

002485680

COLLEGE OF MEDICINE

Bradley S. Butler '90, '94 (2002) Stephanie '90, '94

Home: * 6412 E. Calle del Paisano
Scottsdale, Arizona 85251
(480) 946-2190
(480) 675-0758 – Fax
Office: Emergency Physicians, Inc.
1741 E. Morten Suite C-1
Phoenix, Arizona 85020
(602) 870-0194
(602) 331-3101
(Emergency Physician)
Bsbtlr@aol.com

John J. Casey, Jr. '86 (2004) Stacey

Home: * 1 Whippoorwill Crossing
Armark, New York 10504
(914) 273-5874
Office: CSFB
11 Madison Avenue
New York, New York 10010
(212) 338-2365
jjcasey@CFSB.com

Gilbert "Wally" Chester '64, '67 (2002) Susan

Home: 7307 N. Central Avenue
Phoenix, AZ 85020
602-944-1943
Office: * Westcor Partners
11411 N. Tatum Blvd.
Phoenix, AZ 85028
(602) 953-6200
(602) 494-6159 - fax
wchester@westcor.com
(Executive Vice President)

**ASIAN AMERICAN FACULTY STUDENT
ALUMNI ASSOCIATION**

Susan Chan '90 (2002) Peter

Home: 7805 E. Starbright Court
Tucson, Arizona 85750
(520) 577-8776
Office: New York Life Insurance Co.
One South Church, #1230
Tucson, Arizona 85707
(520) 620-5350
(520) 622-7482 – Fax
Association: * P.O. Box 43975
Tucson, Arizona 85737
(520) 620-5350
yslai@ft.newyorklife.com

Rod Cleveland '63 (2003) Pam

Home: * 10762 N. Pomegranate Drive
Tucson, Arizona 85737-9575
(520) 797-7284
Office: Northern Trust Bank
3450 East Sunrise Drive
Tucson, Arizona 85715
(520) 615-2306 (Direct)
(520) 615-2380 - Fax
cleveland.rodney@ntrs.com
(Vice President)

Bob Charles '63 (2004) Judy

Home: * 2955 Park Lake Drive
Boulder, Colorado 80301
(303) 665-6182
(303) 665-6269 – Fax
Tucson: 3139 Via Palamita
Tucson, AZ 85718
(520) 577-0553
BobParkLk@aol.com
Retired

Marty Herman Cortez '58 (2003)

Home: * 2555 W. Calle Tonalá
Tucson, Arizona 85745
(520) 791-9491
Office: Northern Arizona University - Tucson
401 N. Bonita Avenue
Tucson, Arizona 85709-5090
(520) 879-7900
marty.cortez@nau.edu
(Academic Student Advisor)

**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

002856800

Ned Darnall '53 (2002) Jane
Home:* 4736 Longcove Drive
Stockton, CA 95219-1749
(209) 957-0413

COLLEGE OF HUMANITIES

Norma Feldman '78 (2002) Stanley '56
Home:* 3490 Via Guadalupe
Tucson, Arizona 85716
(520) 327-3701
(520) 326-8157 - Fax

COLLEGE OF EDUCATION

Jim Driscoll '90 ('2002)
Home:* 5051 N. Sabino Canyon Road, #1185
Tucson, Arizona 85750
(520) 577-1238
Office: TUSD
5350 East 16th Street
Tucson, Arizona 85711
(520) 512-3480
(520) 512-3484 - Fax
kareemj33@aol.com
(Teacher)

Dorothy Finley '43 (2004)
Home: 4533 N. Trocha Alegre
Tucson, Arizona 85750
(520) 299-1639
Office:* Finley Distributing Company
2104 S. Euclid Avenue
Tucson, Arizona 85713
(520) 623-8800, Ext. 219

Doug Ehrenkranz '79 (2002) Sharon
Home:* 3302 Oakmont Drive
Sugar Land, Texas 77479
(281) 265-2180
Office: Imperial Sugar Corp.
P.O. Box 9
Sugar Land, Texas 77487
(281) 490-9761
(281) 490-9599 - Fax
Dehrenkranz@Imperialsugar.com
(Executive Vice President)

Lloyd Fox '89 (2003) Kris
Home: * 14333 N. 78th Avenue
Peoria, Arizona 85381
(623) 979-1682
(623) 979-4980 Home Office
(623) 486-3649 - Fax
lfox@huntleighhealth.com
(Regional Vice President)

COLLEGE OF LAW

Anne Elsberry '96 (2002)
Home: 2435 E. 8th Street
Tucson, Arizona 85719-5624
(520) 795-4085
Office: * US District Court
405 W. Congress
Tucson, Arizona 85701
(520) 205-4511
(520) 205-4519 - Fax
Anne_elsberry@azd.uscourts.gov

AMERICAN INDIAN ALUMNI CLUB

Karen Francis-Begay '89, '98 (2002) Bernard
Home:* 3350 West Chive Place
Tucson, Arizona 85741
(520) 742-9505
Office: The University of Arizona
Native American Student Affairs
PO Box 210040
Nugent Building, Room 203
Tucson, Arizona 85721-0040
(520) 626-2067
(520) 621-9880 - Fax
kfbegay@u.arizona.edu
(Director)

ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002

00248 5680

FACULTY REPRESENTATIVE

Jory Hancock (2002) Melissa Lowe
Office: UA School of Music
Gittings 121
P.O. Box 210004
Tucson, Arizona 85721
(520) 626-8030
jory@u.arizona.edu
(Chair of the Faculty,
Professor of Dance)

Linn Hodge '67 (2004) Mimi
Home: 11368 Isleta Street
Los Angeles, CA 90049-3023
(310) 476-5794
Office: * Linn T. Hodge & Sons Insurance
11150 Olympic Blvd, Suite 950
Los Angeles, CA 90064-1817
(310) 477-2666
(310) 477-2867 – Fax
lhodge@hodgeins.com
(President)

COLLEGE OF NURSING

Vicki Hansen '83 (2002) Robert '91
Home: * 7233 E. Sylvane
Tucson, Arizona 85710
(520) 296-5952
(520) 296-5952 *51
Office: University Medical Center
1501 N. Campbell
Tucson, Arizona 85724
(520) 694-7220
(520) 694-2038 – Fax
vhansen@umcaz.edu
(Emergency Dept. Clinical Leader)

Becky Jordan '79 (2004)
Home: * 5618 W. Hatcher Road
Glendale, Arizona 85302-3237
(623) 939-9163
I_R_Jordan@msn.com

CHAIR ELECT

Tom Keating '00 (2003) Irene (Reenie)
Home: * 4740 East Sunrise Drive, #384
Tucson, Arizona 85718-4535
(520) 577-7547
(520) 529-3192 - Fax
Work: IPSA International
1411 Harbor Bay Pkwy, Suite 200
Alameda, California 94502
(510) 748-1234, (800) 997-4772
(510) 748-1231 – Fax
tkeating@ag.arizona.edu
(Chairman of the Board)

PHOENIX ALUMNI CLUB

Gary Harper '71 (2002)
Home: 6243 West Kent Drive
Chandler, Arizona 85226
(480) 763-5919
Office: * Salt River Project
P.O. Box 52025
Phoenix, Arizona 85072-2025
(602) 236-4343
(602) 236-3809 – Fax
gwharper@srpnet.com

CHAIR OF THE BOARD

Carolyn Kemmeries '57, '63 (2003) Dave Galligan
Home: * 383 S. Via de los Campos
Tucson, Arizona 85711
(520) 747-2089
(520) 745-9024 – Fax
dgal124115@aol.com
(TUSD Board President)

**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

00485680

Barry Lay '63 (2002) Anne
Home: 2331 Tenth Street, P.O. Drawer JJ
Douglas, Arizona 85608
(520) 364-4163 (**unlisted**)
Office: * C.B. Lay Customs Brokers, Inc.
#5 Customs Avenue, P.O. Drawer JJ
Douglas, Arizona 85608
(520) 364-7557
(520) 364-3458 - Fax
cblay@theriver.com
(President)

PRESIDENT OF THE UNIVERSITY OF ARIZONA

Dr. Peter Likins -- Patricia

Office: * The University of Arizona
Administration Bldg. 712
PO Box 210066
Tucson, Arizona 85721-0066
(520) 621-5511
(520) 621-9323 - Fax
plikins@arizona.edu

**ELLER COLLEGE OF BUSINESS AND PUBLIC
ADMINISTRATION**

Christopher J. "Chris" Littlefield '88 (2002) Peggy

Home: 10989 East Tierra Drive
Scottsdale, Arizona 85259
(480) 451-7717
Office: * The Dial Corporation
15501 N. Dial Boulevard
Scottsdale, Arizona 85260-1619
(480) 754-5267
(480) 754-3789 - fax
cjl@dialcorp.com
(Senior VP and General Counsel)

Albert Makkay '61 (2002) Maureen
Home: * 306 Captain Lijahs Road
Centerville, Massachusetts 02632-1614
(508) 432-0102
Office: Makkay Group Broadcasting
154 Barnstable Road
Hyannis, Massachusetts 02601
(508) 548-3102
(508) 778-9651
capemo@aol.com

Lisa Mandel '89 (2004)
Home: 111 Eagleview Court
Henderson, Nevada 89074
(702) 263-0921
NCY: * 78 Manhattan Avenue, #3E
New York, New York 10025
(212) 749-5669
(212) 917-653-0921
alleggz@aol.com

Selma Paul Marks '46 '56 (2004)
Home: * 2827 E. Hawthorne
Tucson, AZ 85716-4131
(520) 325-0217
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**SCHOOL OF FAMILY & CONSUMER
RESOURCES**

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TUCSON ALUMNI CLUB

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00485685

**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

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(623) 937-6897

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**GRADUATE AND PROFESSIONAL STUDENT
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BLACK ALUMNI CLUB

Tanisha Price '93 (2001)
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ASUA PRESIDENT

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**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

00487680

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COLLEGE OF PHARMACY

Mark Rhoads '80 (2002) Cindy
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Tucson, Arizona 85712
(520) 795-4271, Ext. 310
(520) 795-8979 - Fax
Mark.Rhoads@sunh.com
(Director of Pharmacy)

STUDENT ALUMNI ASSOCIATION PRESIDENT

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ALUMNI ASSOCIATION PRESIDENT

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(520) 621-9030 - Fax
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**COLLEGE OF SOCIAL AND BEHAVIORAL
SCIENCES**

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(520) 886-6402 - Fax
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011-52-62-60-0706
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(Partner)

Allan J. Stanton '53 (2002) Marcia
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(Lobbyist)

**COLLEGE OF AGRICULTURE
HOMECOMING CHAIR**

Cindy Tidwell-Shelton '82 (2002) Frank
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**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002**

00785680

COLLEGE OF FINE ARTS

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(520) 882-0255 - Fax
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1745 E. River Road, Suite 245
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COLLEGE OF ENGINEERING AND MINES

Belle Tom '72 (2002)
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Consultant

Mara Tyree '71 (2003) Tom '70, '76
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(928) 343-0648 - Fax
(CPA/Partner)
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HISPANIC ALUMNI CLUB

Stephen A. Villaescusa '76 (2002) Monica Castillo '79
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Barry Wong '84 (2003)
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UA LIASONS TO THE BOARD

Lori Goldman '84 - Admissions
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(520) 621-3085
(520) 621-9799 - Fax
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(Director, Admissions & New Student
Enrollment)

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McKale Memorial Center
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(520) 621-2656 - Fax
ghopkins@u.arizona.edu
(Associate to the Athletic Director, Community
and Alumni Relations)

Charlene Ledet - State Relations
The University of Arizona - Phoenix Office
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(Special Assistant, State Relations)

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ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
2001-2002

00485680

Phoenix UA Office

Michele Schulze '77

The UA Alumni Association

Phoenix Office

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Phoenix, Arizona 85012

(602) 266-4820

(602) 274-1276 - Fax

(Assistant Director of Alumni)

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Nonprofit corporations **must attach** a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only:

This corporation **does** ☐ **does not** ☒ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: [Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
(b) the consumer fraud laws of that jurisdiction, or
(c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked:

YES ☐

NO ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? [Underlined portion pertains to profit corporations only]

One box **must** be marked:

YES ☐

NO ☒

Chapter _____

Date Filed _____

Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above:

- 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was incorporated b) transacted business. 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Carolyn Kemmeries

Date 10/8/01

Name Sandra King Ruhl

Date 10/8/01

Signature Carolyn Kemmeries

Signature Sandra King Ruhl

Title Chair of the Board

Title President

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)