



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission



00180594

DUE ON OR BEFORE 05/27/2000

FY99-00

FILING FEE \$45.00

The following information is required by A.R.S. §10-1622 & §10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. **REFER TO THE INSTRUCTIONS ON PAGE 4.**

F-0100531-6

1. THOITS INSURANCE SERVICE, INC.
% C T CORPORATION SYSTEM
3225 N CENTRAL AVE
PHOENIX, AZ 85012

Business Phone: _____ (Business phone is optional)
State of Domicile: CALIFORNIA Type of Corporation: PROFIT

RECEIVED

2. Arizona Statutory Agent: C T CORPORATION SYSTEM
Street Address: 3225 N CENTRAL AVE
(NOT P.O. BOX)
City, State, Zip: PHOENIX AZ 85012-

JUL 24 2000

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

Use this box only if appointing a new Statutory Agent

ACC USE ONLY	
Fee	\$ 45
Penalty	\$ 27
Renstate	\$
Expedite	\$
Resubmit	\$

IPR: 2/25/00
If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.
I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature of new Statutory Agent

3. Secondary Address: 121432
(Foreign Corporations are REQUIRED to complete this section.)

~~250 CAMBRIDGE AVE~~
~~PALO ALTO, CA 94306~~

444 Castro Street Suite 200
Mountain View CA 94041

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| ☐ 1. Accounting | ☐ 20. Manufacturing |
| ☐ 2. Advertising | ☐ 21. Mining |
| ☐ 3. Aerospace | ☐ 22. News Media |
| ☐ 4. Agriculture | ☐ 23. Pharmaceutical |
| ☐ 5. Architecture | ☐ 24. Publishing/Printing |
| ☐ 6. Banking/Finance | ☐ 25. Ranching/Livestock |
| ☐ 7. Barbers/Cosmetology | ☐ 26. Real Estate |
| ☐ 8. Construction | ☐ 27. Restaurant/Bar |
| ☐ 9. Contractor | ☐ 28. Retail Sales |
| ☐ 10. Credit/Collection | ☐ 29. Science/Research |
| ☐ 11. Education | ☐ 30. Sports/Sporting Events |
| ☐ 12. Engineering | ☐ 31. Technology(Computers) |
| ☐ 13. Entertainment | ☐ 32. Technology(General) |
| ☐ 14. General Consulting | ☐ 33. Television/Radio |
| ☐ 15. Health Care | ☐ 34. Tourism/Convention Services |
| ☐ 16. Hotel/Motel | ☐ 35. Transportation |
| ☐ 17. Import/Export | ☐ 36. Utilities |
| ☒ 18. Insurance | ☐ 37. Veterinary Medicine/Animal Care |
| ☐ 19. Legal Services | ☐ 38. Other _____ |

NON-PROFIT CORPORATIONS

- | |
|---|
| ☐ 1. Charitable |
| ☐ 2. Benevolent |
| ☐ 3. Educational |
| ☐ 4. Civic |
| ☐ 5. Political |
| ☐ 6. Religious |
| ☐ 7. Social |
| ☐ 8. Literary |
| ☐ 9. Cultural |
| ☐ 10. Athletic |
| ☐ 11. Science/Research |
| ☐ 12. Hospital/Health Care |
| ☐ 13. Agricultural |
| ☐ 14. Animal Husbandry |
| ☐ 15. Homeowner's Association |
| ☐ 16. Professional, commercial industrial or trade association |
| ☐ 17. Other _____ |

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate. (If no changes since last report, check here and go on to Section 6.)

Number of Shares/Certificates Authorized 1,000,000 Class COMMON Series Within Class (if any)

Number of Shares/Certificates Issued 10,971 Class COMMON Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

None ☐ Name: Thoit's Insurance Services, Inc.
Employee Stock Ownership Plan
 Name: Name:

7. OFFICERS (If no changes since last report, check here and go on to Section 8.) - See Attached list

Name: NORMAN RALPH MCINTYRE
 Title: PRESIDENT / CEO
 Address: 1505 BYRON ST
PALO ALTO, CA 94301-

Date taking office:
 Name: KENNETH KERR PETERSON
 Title: SECRETARY / COO
 Address: 200 SELBY LN
ATHERTON, CA 94027-3931

Date taking office:

Name: DOUGLAS C BRYSON
 Title: VICE-PRESIDENT
 Address: 7570 IVY CT
PLEASANTON, CA 94588-3664

Date taking office: 89
 Name: DONALD ALEXANDER WAY
 Title: TREASURER / CEO
 Address: 320 KELLOGG AVE
PALO ALTO, CA 94301-3629

Date taking office:

8. DIRECTORS (If no changes since last report, check here and go on to Section 9.) See attached list

Name: MAUREEN JOAN COX
 Address: 224 CASTILIAN WAY
SAN MATEO, CA 94402-2307

Date taking office:
 Name: DAVID L KALKBRENNER
 Address: 2031 BYRON ST
PALO ALTO, CA 94301-4004

Date taking office:

Name: GEORGE WILLIAM DESCALSO
 Address: 1937 EATON AVE
SAN CARLOS, CA 94070-4740

Date taking office:
 Name: KIRK PAUL DRYDEN
 Address: 2935 SHERWOOD DR
SAN CARLOS, CA 94070-

Date taking office:

DIRECTORS & OFFICERS

2/1/99 to 1/31/00

Bryson, Douglas C.	Vice President	7570 Ivy Court Pleasanton, CA 94588-3664	573-61-9952	10/10/45
Cameron, Katherine Perry	Vice President	49 Showers, J322 Mountain View, CA 94040-1481	549-25-2707	6/24/56
Cardani, Gary Caesar	Vice President	3416 Shasta Drive San Mateo, CA 94403-3909	564-72-6922	10/23/54
Chow, Marianne	Vice President	45450 Sodaville Drive Fremont, CA 94539	569-75-7710	7/14/68
Cox, Maureen Joan	Vice President & Director	224 Castilian Way San Mateo, CA 94402-2307	564-46-6565	5/9/37
Descalso, George William	Vice President & Director	1937 Eaton Avenue San Carlos, CA 94070-4740	566-80-9908	2/7/58
Dryden, Kirk Paul	Executive Vice Pres & Director	2935 Sherwood Drive San Carlos, CA 94070	369-50-7855	7/28/48
Higgins, Terrence Andrew	Vice President	1205 Wasatch Drive Mountain View, CA 94040-3941	469-42-3662	12/23/38
Kalkbrenner, David L.	Director, Principal business affiliation, President & CEO of Greater Bay Bancorp	2031 Byron Street Palo Alto, CA 94301-4004	478-44-9691	UNK
Maes, Kathleen Ann	Vice President & Director	815 Acacia Drive Burlingame, CA 94010-3602	559-84-6107	4/17/51
Marguet, Dennis Steven	Senior Vice President	2547 Larrikeet Court Pleasanton, CA 94566	573-68-8399	10/15/47
McGarry, David Shannon	Vice President	13532 Mandarin Way Saratoga, CA 95070-4847	534-52-1576	11/23/51
McIntyre, Norman Ralph "Skip"	President & Director	1505 Byron Street Palo Alto, CA 94301	564-40-9879	7/16/39
Miller, Edward Aloysius "Ned"	Senior Vice President	30 Regent Court Palo Alto, CA 94301	067-34-9001	10/21/42
Mulqueeney, Michael Paul	Vice President	101 Wessex Way Folsom, CA 95630-8658	548-31-6021	3/4/59
Peterson, Kenneth Kerr	Vice President, Secretary & Director	200 Selby Lane Atherton, CA 94027-3931	565-48-2721	4/7/39
Peterson-Gaw, Tammy	Vice President	1340 Ranchita Avenue Los Altos, CA 94024	556-15-4388	9/14/57
Petterson, Ross Eric	Vice President	1433 Paloma Avenue Burlingame, CA 94010-3334	525-04-3480	2/16/60

Poizner, Stephen L.	Director, Principal business affiliation, Founder & CEO of Snaptrack, Inc.	4040 Moorpark Avenue #250 San Jose, CA 95117-4104	449-13-7081	UNK
Saich, Anton Paul	Vice President & Director	2177 Rosswood Drive San Jose, CA 95124-5428	551-51-1218	6/27/67
Smith, Barbara Ann	Director	16494 Farley Road Los Gatos, CA 95032	185-34-6491	6/14/44
Way, Donald Alexander	Chairman of Board & Treasurer	320 Kellogg Avenue Palo Alto, CA 94301-3629	021-34-6323	7/1/45
Wilson, Charles John	Vice President	2819 Old Estates Court San Jose, CA 95135-1214	544-42-6182	8/15/44

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Only nonprofit corporations must attach a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only

This corporation **does** ☐ **does not** ☐ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: [Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked:

YES ☐

NO ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? [Underlined portion pertains to profit corporations only]

One box must be marked:

YES ☐

NO ☒

If YES, enter the following:

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above.

- 1) The names and addresses of each corporation and the person or persons involved.
- 2) The state in which each corporation was a) incorporated b) transacted business.
- 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Donald A Way Date 7/19/00 Name _____ Date _____

Signature [Signature] Signature _____

Title CEO, Treasurer, Director Title _____

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)