



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission



00149020

DUE ON OR BEFORE 06/07/2000

FY99-00

FILING FEE \$45.00

The following information is required by A.R.S. §10-1622 & §10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. **REFER TO THE INSTRUCTIONS ON PAGE 4.**

F-0877763-4

1. **HERITAGE ENERGY, INC. (FN)**
24 PRIME PKWY
NATICK, MA 01760

Business Phone: _____ (Business phone is optional.)

State of Domicile: **MASSACHUSETTS** Type of Corporation: **BUSINESS**

RECEIVED

MAY - 1 2000

**ARIZONA CORP COMMISSION
CORPORATIONS DIVISION**

2. Arizona Statutory Agent: **CORPORATION SERVICE COMPANY**
Street Address: **3636 N CENTRAL AVE**
(NOT P.O. BOX)
City, State, Zip: **PHOENIX AZ 85012-**

Use this box only if appointing a new Statutory Agent

ACC USE ONLY

Fee \$ 165
Penalty \$ _____
Reinstate \$ _____
Expedite \$ _____
Resubmit \$ _____

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature of new Statutory Agent

3. Secondary Address: **% SOUTHWEST HEC ENERGY SERVICE**
(Foreign Corporations are **REQUIRED** to complete this section.) **1480 E BETHANY HOME RD #205**
PHOENIX, AZ 85014

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| <input type="checkbox"/> 1. Accounting | <input type="checkbox"/> 20. Manufacturing |
| <input type="checkbox"/> 2. Advertising | <input type="checkbox"/> 21. Mining |
| <input type="checkbox"/> 3. Aerospace | <input type="checkbox"/> 22. News Media |
| <input type="checkbox"/> 4. Agriculture | <input type="checkbox"/> 23. Pharmaceutical |
| <input type="checkbox"/> 5. Architecture | <input type="checkbox"/> 24. Publishing/Printing |
| <input type="checkbox"/> 6. Banking/Finance | <input type="checkbox"/> 25. Ranching/Livestock |
| <input type="checkbox"/> 7. Barbers/Cosmetology | <input type="checkbox"/> 26. Real Estate |
| <input type="checkbox"/> 8. Construction | <input type="checkbox"/> 27. Restaurant/Bar |
| <input type="checkbox"/> 9. Contractor | <input type="checkbox"/> 28. Retail Sales |
| <input type="checkbox"/> 10. Credit/Collection | <input type="checkbox"/> 29. Science/Research |
| <input type="checkbox"/> 11. Education | <input type="checkbox"/> 30. Sports/Sporting Events |
| <input type="checkbox"/> 12. Engineering | <input type="checkbox"/> 31. Technology(Computers) |
| <input type="checkbox"/> 13. Entertainment | <input type="checkbox"/> 32. Technology(General) |
| <input type="checkbox"/> 14. General Consulting | <input type="checkbox"/> 33. Television/Radio |
| <input type="checkbox"/> 15. Health Care | <input type="checkbox"/> 34. Tourism/Convention Services |
| <input type="checkbox"/> 16. Hotel/Motel | <input type="checkbox"/> 35. Transportation |
| <input type="checkbox"/> 17. Import/Export | <input type="checkbox"/> 36. Utilities |
| <input type="checkbox"/> 18. Insurance | <input type="checkbox"/> 37. Veterinary Medicine/Animal Care |
| <input type="checkbox"/> 19. Legal Services | <input type="checkbox"/> 38. Other _____ |

NON-PROFIT CORPORATIONS

- | |
|--|
| <input type="checkbox"/> 1. Charitable |
| <input type="checkbox"/> 2. Benevolent |
| <input type="checkbox"/> 3. Educational |
| <input type="checkbox"/> 4. Civic |
| <input type="checkbox"/> 5. Political |
| <input type="checkbox"/> 6. Religious |
| <input type="checkbox"/> 7. Social |
| <input type="checkbox"/> 8. Literary |
| <input type="checkbox"/> 9. Cultural |
| <input type="checkbox"/> 10. Athletic |
| <input type="checkbox"/> 11. Science/Research |
| <input type="checkbox"/> 12. Hospital/Health Care |
| <input type="checkbox"/> 13. Agricultural |
| <input type="checkbox"/> 14. Animal Husbandry |
| <input type="checkbox"/> 15. Homeowner's Association |
| <input type="checkbox"/> 16. Professional, commercial
industrial or trade association |
| <input type="checkbox"/> 17. Other _____ |

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate. (If no changes since last report, check here ☒ and go on to Section 6.)

Number of Shares/Certificates Authorized

Class

Series Within Class (if any)

Number of Shares/Certificates Issued

Class

Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

NONE ☒ Name: _____ Name: _____
Name: _____ Name: _____

7. OFFICERS (Attach additional sheets if necessary.)

Name: Thomas W. Philbin
Title: President
Address: 62 Far Reach Road
Westwood, MA 02090

Date taking office: _____

Name: James B. Redden
Title: exec. V.P. & COO
Address: One Pueblo Road
Medfield, MA 02052

Date taking office: _____

Name: Linda A. Jensen
Title: VP, Treasurer and Clerk
Address: 250 Pond Street
Jamaica plain, MA 02130

Date taking office: _____

Name: David S. Dayton
Title: Vice President
Address: 49 Taylor Road
Acton, MA 01720

Date taking office: _____

8. DIRECTORS Must List a Minimum of 1 Director.

Name: Thomas W. Philbin
Address: 62 Far Reach Road
Westwood, MA 02090

Date taking office: _____

Name: _____
Address: _____

Date taking office: _____

Name: _____
Address: _____

Date taking office: _____

Name: _____
Address: _____

Date taking office: _____

Directors, Officers Report

HEC Inc.

March 07, 2000

DIRECTORS

David S. Dayton

Director

Primary Address:

HEC Inc.
24 Prime Parkway
Natick, MA 01760

Home

Address:

49 Taylor Road
Acton, Massachusetts 01720

Thomas W. Philbin

Director

Primary Address:

HEC Inc.
24 Prime Parkway
Natick, MA 01760
62 Far Reach Road
Westwood, MA 02090

Home

Address:

James B. Redden

Director

Primary Address:

HEC Inc.
24 Prime Parkway
Natick, MA 01760
One Pueblo Road
Medfield, MA 02052

Home

Address:

William W. Schivley

Director

Primary Address:

Select Energy, Inc.
107 Selden Street
Berlin, CT 06037
31 Running Brook Drive
Killingworth, CT 06419

Home

Address:

OFFICERS

Thomas W. Philbin

President

Primary Address:

HEC Inc.
24 Prime Parkway
Natick, MA 01760
62 Far Reach Road
Westwood, MA 02090

Home Address:

James B. Redden

Executive Vice President and Chief Operating Officer

Primary Address:

HEC Inc.
24 Prime Parkway
Natick, MA 01760
One Pueblo Road
Medfield, MA 02052

Home Address:

HEC Inc.

H. Donald Burbank

Vice President-Customer Service

Primary Address: HEC Inc.
24 Prime Parkway
Natick, MA 01760
Home Address: 104 Atlantic Avenue
Groton Long Point, CT 06348

David S. Dayton

Vice President

Primary Address: HEC Inc.
24 Prime Parkway
Natick, MA 01760
Home Address: 49 Taylor Road
Acton, Massachusetts 01720

Linda A. Jensen

Vice President-Finance, Treasurer and Clerk

Primary Address: HEC Inc.
24 Prime Parkway
Natick, MA 01760
Home Address: 250 Pond Street
Jamaica Plain, MA 02130

Frederic Lee Klein

Assistant Clerk

Primary Address: Northeast Utilities Service Company
107 Selden Street
Berlin, CT 06037
Home Address: 68 Ridgecrest Road
Glastonbury, CT 06033

Marie A. Sullivan

Assistant Clerk

Primary Address: Northeast Utilities Service Company
107 Selden Street
Berlin, CT 06037
Home Address: 112 Belle Woods Drive
Glastonbury, CT 06033

David R. McHale

Assistant Treasurer

Primary Address: Northeast Utilities Service Company
107 Selden Street
Berlin, CT 06037
Home Address: 15 Withe Pass
Burlington, CT 06013

Please Enter Corporation Name: HEC INC

Page 3

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Only nonprofit corporations must attach a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only.

This corporation **does** ☐ **does not** ☐ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: [Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked:

YES ☐

NO ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? [Underlined portion pertains to profit corporations only]

One box must be marked:

YES ☐

NO ☒

If YES, enter the following:

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above.

1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Linda A. Jensen Date 4/24/00 Name _____ Date _____

Signature Linda A. Jensen Signature _____

Title V.P., Treasurer and Clerk Title _____

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)