



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission



DUE ON OR BEFORE 10/14/1999

FILING FEE \$10.00

The following information is required by A.R.S. §10-1622 & §10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. **REFER TO THE INSTRUCTIONS ON PAGE 4.**

1. *THE* UNIVERSITY OF ARIZONA ALUMNI ASSOCIATION
FOUNDATION /ALUMNI BLDG #202
1111 N CHERRY AVE
TUCSON, AZ 85721

Corporation File Number:

-0048568-0

Business Phone: (520) 621-4846

(Business phone is optional)

State of Domicile: ARIZONA

Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: KENT D ROLLINS
Street Address: 1111 N CHERRY AVE
(NOT P.O. BOX)
City, State, Zip: TUCSON AZ 85721-

ACC USE ONLY	
Fee	\$ 10
Penalty	\$
Renstate	\$
Expedite	\$
Total	\$
FY99-00	

Use this box only if appointing a new Statutory Agent

If appointing a new statutory agent, the new agent **MUST** consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature of new Statutory Agent

RECEIVED

OCT 07 1999

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| <input type="checkbox"/> 1. Accounting | <input type="checkbox"/> 20. Manufacturing |
| <input type="checkbox"/> 2. Advertising | <input type="checkbox"/> 21. Mining |
| <input type="checkbox"/> 3. Aerospace | <input type="checkbox"/> 22. News Media |
| <input type="checkbox"/> 4. Agriculture | <input type="checkbox"/> 23. Pharmaceutical |
| <input type="checkbox"/> 5. Architecture | <input type="checkbox"/> 24. Publishing/Printing |
| <input type="checkbox"/> 6. Banking/Finance | <input type="checkbox"/> 25. Ranching/Livestock |
| <input type="checkbox"/> 7. Barbers/Cosmetology | <input type="checkbox"/> 26. Real Estate |
| <input type="checkbox"/> 8. Construction | <input type="checkbox"/> 27. Restaurant/Bar |
| <input type="checkbox"/> 9. Contractor | <input type="checkbox"/> 28. Retail Sales |
| <input type="checkbox"/> 10. Credit/Collection | <input type="checkbox"/> 29. Science/Research |
| <input type="checkbox"/> 11. Education | <input type="checkbox"/> 30. Sports/Sporting Events |
| <input type="checkbox"/> 12. Engineering | <input type="checkbox"/> 31. Technology(Computers) |
| <input type="checkbox"/> 13. Entertainment | <input type="checkbox"/> 32. Technology(General) |
| <input type="checkbox"/> 14. General Consulting | <input type="checkbox"/> 33. Television/Radio |
| <input type="checkbox"/> 15. Health Care | <input type="checkbox"/> 34. Tourism/Convention Services |
| <input type="checkbox"/> 16. Hotel/Motel | <input type="checkbox"/> 35. Transportation |
| <input type="checkbox"/> 17. Import/Export | <input type="checkbox"/> 36. Utilities |
| <input type="checkbox"/> 18. Insurance | <input type="checkbox"/> 37. Veterinary Medicine/Animal Care |
| <input type="checkbox"/> 19. Legal Services | <input type="checkbox"/> 38. Other |

NON-PROFIT CORPORATIONS

- | |
|--|
| <input type="checkbox"/> 1. Charitable |
| <input type="checkbox"/> 2. Benevolent |
| <input checked="" type="checkbox"/> 3. Educational |
| <input type="checkbox"/> 4. Civic |
| <input type="checkbox"/> 5. Political |
| <input type="checkbox"/> 6. Religious |
| <input type="checkbox"/> 7. Social |
| <input type="checkbox"/> 8. Literary |
| <input type="checkbox"/> 9. Cultural |
| <input type="checkbox"/> 10. Athletic |
| <input type="checkbox"/> 11. Science/Research |
| <input type="checkbox"/> 12. Hospital/Health Care |
| <input type="checkbox"/> 13. Agricultural |
| <input type="checkbox"/> 14. Animal Husbandry |
| <input type="checkbox"/> 15. Homeowner's Association |
| <input type="checkbox"/> 16. Professional, commercial
industrial or trade association |
| <input type="checkbox"/> 17. Other |

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized Class Series Within Class (if any)

Number of Shares/Certificates Issued Class Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: _____ Name: _____

NONE ☐

Name: _____ Name: _____

7. OFFICERS (If no changes since last report, check here _____ and go on to Section 8.)

Name: KENT D ROLLINS

Title: PRESIDENT/CEO

Address: 1111 N CHERRY AVE

TUCSON, AZ 85721-0109

Date taking office: ~~09-19-98~~ 09-18-99

Name: MELISSA STREY *EDITH SMYRE AUSLANDER*

Title: SECRETARY *VICE CHAIR*

Address: ~~P O BOX 210109~~ P.O. Box 26887

TUCSON, AZ ~~85721-0109~~ 85726

Date taking office: ~~09-19-98~~ 09-18-99

Name: PHILIP MAY

Title: ~~VICE PRESIDENT~~ CHAIR OF THE BOARD

Address: 201 N CENTRAL AVE STE 2210

PHOENIX, AZ 85073-0073

Date taking office: ~~09-19-98~~ 09-18-99

Name: DAVID AREGHINI

Title: ~~TREASURER~~ VICE CHAIR / CHAIR ELECT

Address: 228 W ROYAL PALM RD

PHOENIX, AZ 85021-

Date taking office: ~~09-19-98~~ 09-18-99

8. DIRECTORS (If no changes since last report, check here _____ and go on to Section 9.)

Name: DAVID AREGHINI

Address: P O BOX 52025

PHOENIX, AZ 85072-2025

Date taking office: 09-18-99

Name: HUMBERTO ACUNA

Address: LEY FEDERAL DEL TRABAJO #49

Hermosillo
MX 83140, -

Date taking office: 09-18-99

Name: LELA ALSTON

Address: 1900 W THOMAS RD

PHOENIX, AZ 85015-

Date taking office: 09-18-99

Name: JOSEPHINE C PALMER

Address: 1501 N CAMPBELL AVE

TUCSON, AZ 85724-

Date taking office: 09-18-99

(ALSO SEE ATTACHED ROSTER)

**ARIZONA ALUMNI ASSOCIATION
BOARD OF DIRECTORS
1999-2000**

Humberto Acuña '81 (2000) Mirna de Acuna
Home:* Ley Federal Del Trabajo #49
Colinas Residencial
Hermosillo, Sonora, Mexico 83140
011-52-62-11-04-76
Office: Water Technology de Mexico
P.O. Box 598
Hermosillo, Sonora, Mexico
011-52-62-11-04-76
011-52-62-11-04-76 - Fax
aguabuena@imparcial.com.mx
(Owner)

Jim Allen '48 (2000) Laurel
Home:* 318 Norfolk Street
Cambria, California 93428-2711
(805) 927-3637
Business: The Gourmet Gift
719 Main Street
Cambria, California 93428
(805) 927-3157
(805) 927-3157 - Fax
jjlinc.@tcsn.net

Lela Alston '67 ('99)
Home:* 4133 W. Cheery Lynn Road
Phoenix, Arizona 85019-4128
(602) 278-2002
(602) 278-7557 - Fax
Office: Metro Tech V.I.P.
1900 W. Thomas Road
Phoenix, Arizona 85015
(602) 271-3283 (Except Summer)
(602) 407-1143 - Fax
(Instructor/Wellness Center Coord.)

Eric Andell '68 (2001)
Home: 4404 Basswood Lane
Bellaire, TX 77401-3202
(713) 665-4400
Office: * First Court of Appeals - State of Texas
1307 San Jacinto Street #1008
Houston, TX 77002-7006
(713) 655-2708
(713) 752-2304 - Fax
(Judge)

CHAIR ELECT

David Areghini '65 ('99) Dede
Home:* 228 W. Royal Palm Road
Phoenix, Arizona 85021
(602) 870-1707
Office: Salt River Project
P.O. Box 52025
Phoenix, Arizona 85072-2025
(602) 236-5949
(602) 236-5444 - Fax
dgareghi@srp.gov
(Associate Gen. Manager)

VICE CHAIR

Edith Sayre Auslander '61, '75 (2001) Stephen
Home:* 2663 N. Norris Avenue
Tucson, Arizona 85719
(520) 326-6459
Office: T.N.I. Partners
P.O. Box 26887
Tucson, Arizona 85726
(520) 573-4252
(520) 573-4294 - Fax
edithann@starnet.com
(V.P./ Human Resources)

Carrie Besnette '90 (2001)
Home: * 225 31st Street
Boulder, CO 80303
(303) 554-6466
Office: Western Interstate Commission for
Higher Education (WICHE)
P.O. Box 9752
1540 30th Street
Boulder, CO 80302
(303) 541-0248
(303) 541-0291 - Fax
carriebesnette@wiche.edu
(Policy Associate, Coordinator of State
Relations)

COLLEGE OF AGRICULTURE

J.C. Bogle '80 ('99) Kathy
Home:* 275 W. San Marcos Drive
Chandler, Arizona 85224
(602) 963-7833
Office: Bogle Farms I. LLC
2020 North Arizona Ave., Suite G-92
Chandler, Arizona 85225
(602) 963-3322
(602) 963-3384 - Fax
jbogle@uswest.net (Assistant Manager)

COLLEGE OF ARCHITECTURE

Kay Brown '83, '90 ('99) Barry

Home: 361 North Nightfall
Tucson, Arizona 85748
(520) 886-9628

Office: * T.L. Roof & Associates
710 Campbell Avenue
Tucson, Arizona 85719
(520) 792-0734
(520) 792-0958 - Fax
(Project Manager)

Gilbert "Wally" Chester '64, '67

Spouse: Susan Chester

Home: 7307 N. Central Avenue
Phoenix, AZ 85020
602-944-1943

Office: Westcor Partners
11411 N. Tatum Blvd.
Phoenix, AZ 85028
(602) 953-6200
(602) 494-6159 - fax
wchester@westcor.com
(Executive Vice President)

Rod Cleveland '63 (2000) Pamela

Home:* 10762 N. Pomegranate Drive
Tucson, Arizona 85737-9575
(520) 797-7284

Office: Northern Trust Bank
3450 East Sunrise Drive
Tucson, Arizona 85715
(520) 615-2306 (Direct)
1-800-224-2299
(520) 615-2380 - Fax
cleveland.rod@ntrs.com
(Vice President)

HISPANIC ALUMNI

Marty Cortez '58 ('99)

Home:* 2555 West Calle Tonalá
Tucson, Arizona 85745
(520) 791-9491

Office: Northern Arizona University
2500 North Tucson Blvd., Ste. 100
Tucson, Arizona 85716
(520) 323-3191
(520) 326-9637 - Fax
(SAP Program Coordinator)

Ned Darnall '53

Spouse: Jane M. Darnall

Home: 4736 Longcove Drive
Stockton, CA 95219-1749
(209) 957-0413

COLLEGE OF EDUCATION

Jim Driscoll '90 ('99)

Home:* 5751 N. Kolb Road #16203
Tucson, Arizona 85750
(520) 577-1238

Office: TUSD
5350 East 16th Street
Tucson, Arizona 85711
(520) 512-3480
kareemj33@aol.com
(Teacher)

Doug Ehrenkranz '79 (2001) Sharon

Home:* 3302 Oakmont Drive
Sugar Land, Texas 77479
(281) 265-2180

Office: Imperial Holly Sugar Corp.
P.O. Box 9
Sugar Land, Texas 77487
(281) 490-9761
1-800-72-SUGAR
(281) 490-9599 - Fax
Dehrenkranz@Imperialholly.com
(V.P.; Sales & Marketing)

AMERICAN INDIAN ALUMNI

Karen Francis-Begay '89 ('99)

Home: 3350 W. Chive Place
Tucson, AZ 85741

Work: The University of Arizona
Language, Reading, & Culture Education
P.O. Box 210069
Tucson, AZ 85721
(520) 621-1068
(520) 621-8174 - Fax

HOMEcoming CHAIR

Debbie Frazelle '75 ('99) Michael

Home: 4659 East Rockridge Road
Phoenix, Arizona 85018
(602) 952-1569

Office:* Professional Search, LLC
7434 East Stetson Drive, Ste. 144
Scottsdale, Arizona 85251
(602) 994-4400
(602) 994-4440 - Fax
Debbie@Professionalsearch.com

James W. "Jim" Garrett
Spouse: Bonita L. "Lacy" Garrett
Home: 2560 N. Lightning A Drive
Tucson, AZ 85749
(520) 760-2232
(520) 760-2252 – fax
Office: same as above
(520) 760-2242
(520) 760-2252 – fax
jgarret704@aol.com
(Owns/Operates 3 McDonald 's)

Mike Gordon '74 (2000) Jean
Home:* 1680 Rosebud Court
Wheaton, Illinois 60187-1136
(630) 462-7499
Office: McDonald's Corporation
Campus Office Building
Oak Brook, Illinois 60521
(630) 623-7676
(630) 623-5814 - Fax
(V.P. Internat'l Communications)

BOBCAT CLUB PRESIDENT

Jeff Grant
Home: 3220 Presidio, #11
Tucson, AZ 85716
(520) 326-8131
jgrant@u.arizona.edu

Matt Hanhila, Jr. '62 (2001) Jennifer
Home: * 535 East Glenn Drive
Phoenix, Arizona 85202
(602) 943-3957
Work: Matt O. Hanhila, Jr., D.D.S., M.S., P.C.
5406 West Glenn Drive, #1
Glendale, Arizona 85301
(602) 561-1010
(602) 931-2726 - Fax (call first)
(Orthodontist, Owner)

PHOENIX ALUMNI CLUB

Gary W. Harper '71
Home: 8550 E. McDowell Road, #179
Scottsdale, AZ 85257
(480) 949-0547
Office: Salt River Project
PO Box 52025, POB 002
Phoenix, AZ 85072-2025
(602) 236-4343
(602) 236-3809 - fax
gwharper@srpnet.com
(Manager Power Generation)

Linn Hodge '67
Spouse: Jo Ann
Home: 11368 Isleta Street
Los Angeles, CA 90049-3023

FACULTY REPRESENTATIVE

Jerry Hogle ('99) Pamela
Home: 7661 North Rasmussen Avenue
Tucson, Arizona 85741-1718
(520) 297-6728
Office:* Faculty Center
P.O. Box 210473
Tucson, Arizona 85721
(520) 621-1342
(520) 621-8844 - Fax
hogle@ccit.arizona.edu
(Chair of the Faculty,
Professor of English)

Tom Keating '64 (2000) Irene (Reenie)
Home: * 4740 East Sunrise Drive, #384
Tucson, Arizona 85718
(520) 577-7547
(520) 529-3192 - Fax
Work: American Protective Services
P.O. Box 6757
Oakland, California 94603-0757
(510) 568-0276 or (510) 865-0860
(510) 430-1130 - Fax
tkeating@ag.arizona.edu
(Chairman of the Board)

INTERNAL RELATIONS TEAM LEADER

Carolyn Kemmeries '57, '62 (2001)
Home: 5400 E. Williams Blvd., #6106
Tucson, Arizona 85711
(520) 747-2089

COLLEGE OF LAW

Anne Elsberry Kyl '96
Home: 2509 East Seventh Street
Tucson, AZ 85716
(520) 326-5085
Office: Brown & Bain, P.A.
1 S. Church Avenue, 19th Floor
Tucson, AZ 85701
(520) 798-7917
(520) 798-7945 – fax
akyl@brownbain.com
(Attorney)

Barry Lay '63 ('99) Anne

Home: P.O. Drawer JJ
Douglas, Arizona 85608
(520) 364-4163 (**unlisted**)
Office:* C.B. Lay Customs Brokers, Inc.
230 International Ave.
P.O. Drawer JJ
Douglas, Arizona 85608
(520) 364-7557
(520) 364-3458 - Fax
cblay@theriver.com
(President)

PRESIDENT OF THE UNIVERSITY OF ARIZONA

Dr. Peter Likins -- Patricia
Office: The University of Arizona
Administration Bldg. 712
PO Box 210066
Tucson, Arizona 85721-0066
(520) 621-5511
(520) 621-9323 - Fax
plikins@arizona.edu

BPA SCHOOL REPRESENTATIVE

Christopher J. "Chris" Littlefield '88
Spouse: Peggy Littlefield
Home: 7447 E. Windrose Drive
Scottsdale, AZ 85260-1619
(480) 922-0767
Office: The Dial Corporation
15501 N. Dial Boulevard
Scottsdale, AZ 85260-1619
(480) 754-5267
(480) 754-3789 - fax
cjl@dailcorp.com
(VP, Associate General Counsel)

Selma Paul Marks '45

Home: 2827 E. Hawthorne
Tucson, AZ 85716-4131
(520) 325-0217
(520) 326-6250 - fax

SCHOOL OF CONSUMER & FAMILY RESOURCES

Beth Martin '71 ('99)
Home: * 1115 West Las Lomitas Road
Tucson, Arizona 85704-2713
(520) 887-5141
Office: Kino Community Hospital
2800 East Ajo Way
Tucson, Arizona 85713-6204
(520) 573-2804
(520) 741-9505 - Fax
(Head Dietitian)

CHAIR OF THE BOARD

Philip May '80, '83 ('99) Suzanne
Home: 4937 E. Mitchell Drive
Phoenix, Arizona 85018
(602) 952-8668
Office: May, Potenza, Judson & Baran P.C.
Bank One Center
201 N. Central Ave., Ste. 2210
Phoenix, Arizona 85073-0073
(602) 252-1900
(602) 252-1114 - Fax
pmay@mpjblaw.com
(Attorney)

IMMEDIATE PAST CHAIR

Sid McClue '65 (2000) Ann
West Coast: P.O. Box 676245
Rancho Santa Fe, CA 92067-6245
(619) 509-9065
(602) 315-7649 - cell phone
East Coast: P.O. Box 7928
Hilton Head, South Carolina 29938
(843) 785-9254
smccluepar@aol.com
Work: The PAR Group
(President)

PLANNING & EVALUATION COMMITTEE

Juanita McDonough '78 ('99) Rick
Home: * 7920 N. Leonardo Da Vinci
Tucson, Arizona 85704-3216
(520) 575-6140
Work: Tucson Heart Hospital
4888 North Stone Avenue
Tucson, Arizona 85704
(520) 696-2300
(520) 690-0449 - Fax
(Director of Education)

EXTERNAL RELATIONS TEAM LEADER

Dan Mercer '71 (2001) Cathi

Home:* 158 N. Country Club Drive
Phoenix, AZ 85014-5443
(602) 277-5564
Office: Wells Fargo Bank
100 W. Washington, 11th Floor
MAC Code 4101-115
Phoenix, Arizona 85003
(602) 378-6527
(602) 378-6533 - Fax
dan.mercer@wellsfargo.com
(Regional Director)

Matt Noble '86 (2000) Risa

Home:* 12394 N. Echo Valley Drive
Tucson, Arizona 85737
(520) 219-1006
Office: Fraternity Management Group
744 North Stone
Tucson, Arizona 85705
(520) 622-0125
(520) 882-5159 - Fax
fmgtucson@aol.com
(Partner/President)

Josephine C. Palmer '90 (2001) Bob

Home: 3726 N. Knollwood Circle
Tucson, Arizona 85750
(520) 721-4929
Office:* University Medical Center
1501 N. Campbell Ave.
Tucson, Arizona 85724
(520) 694-7274
(520) 694-2528 - Fax
jpalmer@umcaz.edu
(Spec. Projects Coordinator)

James Pederson '64

Spouse: Roberta

Home: 318 W. Rose Lane
Phoenix, AZ 85013
(602) 265-1651
Office* Pederson Group, Inc.
2800 N. Central Avenue #1525
Phoenix, AZ 85004
(602) 265-2888
(602) 265-2889 - Fax
jep@pedersoninc.com
(President)

FISCAL STABILITY COMMITTEE

Bill Raby '62 ('99) Norma

Home:* 30 E. Bishop
Tempe, Arizona 85282
(602) 921-3118
Office: Raby Law Office
2164 E. Broadway, Ste. 280
Tempe, Arizona 85282
(602) 967-1501
(602) 967-0975 - Fax
(Senior Adviser)

Marjorie "Mardi" Roberts '59

Home: 825 Beverly Drive
Gainesville, GA 30501
(770) 536-5446
(770) 536-5553 - fax
Office: Educational Resources of NEGA
605 Green Street
Gainesville, GA 30501
(770) 532-7661
mardirob@home.com
(Co-Owner/Educator)

ALUMNI ASSOCIATION PRESIDENT

Kent Rollins '73 -- Margie

Home: 3411 N. Camino de Piedras
Tucson, Arizona 85715
(520) 722-2627
Office: UA Alumni Association
Marvin D. "Swede" Johnson Bldg.
1111 N. Cherry Ave.
Tucson, Arizona 85721
(520) 621-7576 or 1-800-BEAT-ASU
(520) 621-9030 - Fax
kent@al.arizona.edu

CAMPAIGN CHAIR

George Rountree '55, '60 (2000) Sylvia

Home: 16 East Ashville Street
Wrightsville Beach, N.C. 28480
(910) 256-4312
(910) 256-8968 Fax
Office:* Rountree & Seagle, L.L.P.
2419 Market Street
P.O. Box 1409
Wilmington, N.C. 28403
(910) 763-3404
(910) 763-0320 - Fax
(Senior Partner)

SBS COLLEGE REPRESENTATIVE

Dee Ann Sakrison '64

Spouse: James

Home: 3027 N. Calle Ladera
Tucson, AZ 85715-3209
(520) 298-8742

Office: (520) 602-624-6691

Cindy Tidwell Shelton '82

Home: 1052 W. Vera Lane
Tempe, AZ 85284
(602) 893-2578

TUCSON ALUMNI CLUB

Jennifer Sprung '86, '89 ('99)

Home:* 2823 N. Forgeus Avenue
Tucson, Arizona 85716-1811
(520) 323-0039

Office: TUSD
Pueblo High School
3500 S. 12th Avenue
Tucson, Arizona 85713
(520) 617-7829/617-7800
(520) 617-7821 - Fax
*sprungj@setmms.tusd.k12.az.us
sprung@u.arizona.edu
(Science Educator)

Allan J. Stanton '53

Spouse: Marcia

Home: 1702 E. Highland, Ste. 302
Phoenix, AZ 85016
(602) 234-2282

Office: (602) 956-7573

BLACK ALUMNI REPRESENTATIVE

Harvey Thompson '97 ('99)

Home: 1962 Calle Campana Del Plata
Tucson, AZ 85745
(520) 628-2881

Work: * The University of Arizona
Department of Athletics
P.O. Box 210096
Tucson, AZ 85721
(520) 621-0889
(520) 621-2656 - Fax

Mara Tyree '71 (2000) Tom

Home: * 9650 South Copper View Way
Yuma, Arizona 85365
(520) 344-2800

Office: Gray & Terkelsen, P.L.L.C.
680 West 8th Street
Yuma, Arizona 85364
(520) 782-9831
(520) 343-0648 - Fax
(CPA)

ASUA PRESIDENT

Francisco "Cisco" Aguilar

STUDENT ALUMNI ASSOCIATION PRESIDENT

Jennifer Treu

UA LIASONS TO COMMITTEES

Lori Goldman '84 - Admissions

University of Arizona Admissions Office
Nugent Building
P.O. Box 210040
Tucson, Arizona 85721-0040
(520) 621-3085
(520) 621-9799 - Fax
lgoldman@u.arizona.edu
(Director, Admissions & New Student
Enrollment)

Charlene Ledet - State Relations

The University of Arizona - Phoenix Office
2100 North Central Avenue, Suite 230
Phoenix, Arizona 85004
(602) 254-9630
(602) 274-1276 - Fax
cleDET@u.arizona.edu
(Special Assistant, State Relations)

Jim Livengood - Athletics Director

University of Arizona Athletics
McKale Memorial Center
P.O. Box 210096
Tucson, Arizona 85721
(520) 621-4622
(520) 621-9690 - Fax
(UA Athletic Director)

Phoenix UA Office

Michele Schulze Friedman '77
The UA Alumni Association
Phoenix Office
4811 North 7th Street
Phoenix, Arizona 85014
(602) 266-4820
(602) 274-1276 - Fax
(Assistant Director of Alumni)

Los Angeles UA Office

Jessica Teisch '92
The University of Arizona
Western Regional Office
6230 Wilshire Blvd., #1790
Los Angeles, California 90048
(213) 935-7070
(213) 935-7577 - Fax
jteisch@arizona.edu
(Alumni/Admissions Rep., California)

Last updated 9/22/99

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Only nonprofit corporations must **attach** a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only.

This corporation **does** ☐ **does not** ☒ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: **[Underlined portion pertains to profit corporations only]**

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked:

YES ☐

NO ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? **[Underlined portion pertains to profit corporations only]**

One box must be marked:

YES ☐

NO ☒

If YES, enter the following:

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above:

- 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Philip May Date 9/28/99 Name Kent D. Rollins Date 10/6/99

Signature [Signature] Signature [Signature]

Title CHAIR OF THE BOARD Title PRESIDENT

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)

Schedule A Balance Sheet

Note: Amounts used in attached schedules and in description column should be end of year amounts.

			(a) Beginning of year		(b) End of year	
Assets						
A1	Cash		00	A1		00
A2a	Accounts receivable	A2a 00		A2c		
	b Less: allowance for doubtful accounts	A2b 00	00			00
A3a	Other notes and loans receivable - attach schedule	A3a 00		A3c		
	b Less: allowance for doubtful accounts	A3b 00	00			00
A4	Inventories		00	A4		00
A5	Investments - securities - attach schedule		00	A5		00
A6	Investments - other - attach schedule		00	A6		00
A7a	Land, buildings, and equipment; basis	A7a 00		A7c		
	b Less: accumulated depreciation - attach schedule	A7b 00	00			00
A8	Other assets - describe		00	A8		00
A9	Total assets - add lines A1 through A8		00	A9		00
Liabilities						
A10	Accounts payable and accrued expenses		00	A10		00
A11	Mortgages and other notes payable - attach schedule		00	A11		00
A12	Other liabilities - describe		00	A12		00
A13	Total liabilities - add lines A10 through A12		00	A13		00
Net Assets						
A14	Capital stock or trust principal		00	A14		00
A15	Paid-in or capital surplus		00	A15		00
A16	Retained earnings or accumulated income		00	A16		00
A17	Total net assets - add lines A14 through A16		00	A17		00
A18	Total liabilities and net assets - add lines A13 and A17		00	A18		00

Certification

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is a true, correct and complete return, made in good faith, for the taxable year stated pursuant to the income tax laws of the State of Arizona.

Please
Sign here

Signature of officer

Date

Title

President

Paid
Preparer's
Use Only

Preparer's signature

Date

Firm's name (or preparer's, if self-employed)

Preparer's TIN

Firm's address

ZIP code

Part IV Balance Sheets (See Specific Instructions on page 18.)

Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.		(A) Beginning of year		(B) End of year
Assets	45 Cash—non-interest-bearing	71,101	45	23,164
	46 Savings and temporary cash investments	2,085,331	46	601,645
	47a Accounts receivable 47a			
	b Less: allowance for doubtful accounts 47b		47c	
	48a Pledges receivable 48a			
	b Less: allowance for doubtful accounts 48b		48c	
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
	51a Other notes and loans receivable (attach schedule) 51a			
	b Less: allowance for doubtful accounts 51b		51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges		53	
	54 Investments—securities (attach schedule)		54	1,826,392
	55a Investments—land, buildings, and equipment: basis 55a			
	b Less: accumulated depreciation (attach schedule) 55b		55c	
	56 Investments—other (attach schedule)		56	
	57a Land, buildings, and equipment: basis 57a			
	b Less: accumulated depreciation (attach schedule) 57b		57c	
	58 Other assets (describe ► <u>funds held for the Assn. by the UA Foundation & The University of Arizona</u>)	2,469,892	58	2,584,000
59 Total assets (add lines 45 through 58) (must equal line 74)	4,626,324	59	5,035,201	
Liabilities	60 Accounts payable and accrued expenses		60	
	61 Grants payable		61	
	62 Deferred revenue *		62	
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63	-0-
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		64b	
	65 Other liabilities (describe ► _____)		65	
66 Total liabilities (add lines 60 through 65)	-0-	66	-0-	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.			
	67 Unrestricted		67	
	68 Temporarily restricted		68	
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds	*4,626,324	72	5,035,201
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72; column (A) must equal line 19 and column (B) must equal line 21)	4,626,324	73	5,035,201
74 Total liabilities and net assets / fund balances (add lines 66 and 73)	4,626,324	74	5,035,201	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

* restated to properly reflect royalty advances in retained earnings.