



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE



DUE ON OR BEFORE 04/21/1999

FILING FEE \$10.00

The following information is required by A.R.S. §10-1622 & §10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

1. LA CANADA VILLAGE I HOMEOWNERS ASSOCIATION
7830 N LA CANADA
TUCSON, AZ 85704

Business Phone: _____ Corporation File Number: -0509033-9
(Business phone is optional.)
State of Domicile: ARIZONA Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: BERNARD KAPLAN
Street Address: 7848 N LACANADA DR
(NOT P.O. BOX)
City, State, Zip: TUCSON AZ 85704-

| ACC USE ONLY | |
|--------------|----------|
| Fee | \$ 10.00 |
| Penalty | \$ |
| Reinstate | \$ |
| Expedite | \$ |
| Total | \$ 10.00 |
| FY98-99 | |

Use this box only if appointing a new Statutory Agent

If appointing a new statutory agent, the new agent **MUST** consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

[Signature]

Signature of new Statutory Agent

RECEIVED

APR 9 1999

3. Secondary Address:
(Foreign Corporations are
REQUIRED to complete
this section.)

7809 N. CALLE DE LA ALDEA
TUCSON, AZ. 85704.

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| <input type="checkbox"/> 1. Accounting | <input type="checkbox"/> 20. Manufacturing |
| <input type="checkbox"/> 2. Advertising | <input type="checkbox"/> 21. Mining |
| <input type="checkbox"/> 3. Aerospace | <input type="checkbox"/> 22. News Media |
| <input type="checkbox"/> 4. Agriculture | <input type="checkbox"/> 23. Pharmaceutical |
| <input type="checkbox"/> 5. Architecture | <input type="checkbox"/> 24. Publishing/Printing |
| <input type="checkbox"/> 6. Banking/Finance | <input type="checkbox"/> 25. Ranching/Livestock |
| <input type="checkbox"/> 7. Barbers/Cosmetology | <input type="checkbox"/> 26. Real Estate |
| <input type="checkbox"/> 8. Construction | <input type="checkbox"/> 27. Restaurant/Bar |
| <input type="checkbox"/> 9. Contractor | <input type="checkbox"/> 28. Retail Sales |
| <input type="checkbox"/> 10. Credit/Collection | <input type="checkbox"/> 29. Science/Research |
| <input type="checkbox"/> 11. Education | <input type="checkbox"/> 30. Sports/Sporting Events |
| <input type="checkbox"/> 12. Engineering | <input type="checkbox"/> 31. Technology(Computers) |
| <input type="checkbox"/> 13. Entertainment | <input type="checkbox"/> 32. Technology(General) |
| <input type="checkbox"/> 14. General Consulting | <input type="checkbox"/> 33. Television/Radio |
| <input type="checkbox"/> 15. Health Care | <input type="checkbox"/> 34. Tourism/Convention Services |
| <input type="checkbox"/> 16. Hotel/Motel | <input type="checkbox"/> 35. Transportation |
| <input type="checkbox"/> 17. Import/Export | <input type="checkbox"/> 36. Utilities |
| <input type="checkbox"/> 18. Insurance | <input type="checkbox"/> 37. Veterinary Medicine/Animal Care |
| <input type="checkbox"/> 19. Legal Services | <input type="checkbox"/> 38. Other |

NON-PROFIT CORPORATIONS

- | |
|--|
| <input type="checkbox"/> 1. Charitable |
| <input type="checkbox"/> 2. Benevolent |
| <input type="checkbox"/> 3. Educational |
| <input type="checkbox"/> 4. Civic |
| <input type="checkbox"/> 5. Political |
| <input type="checkbox"/> 6. Religious |
| <input type="checkbox"/> 7. Social |
| <input type="checkbox"/> 8. Literary |
| <input type="checkbox"/> 9. Cultural |
| <input type="checkbox"/> 10. Athletic |
| <input type="checkbox"/> 11. Science/Research |
| <input type="checkbox"/> 12. Hospital/Health Care |
| <input type="checkbox"/> 13. Agricultural |
| <input type="checkbox"/> 14. Animal Husbandry |
| <input checked="" type="checkbox"/> 15. Homeowner's Association |
| <input type="checkbox"/> 16. Professional, commercial industrial or trade association |
| <input type="checkbox"/> 17. Other |

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

| Number of Shares/Certificates Authorized | Class | Series Within Class (if any) |
|--|-------|------------------------------|
| | | |

| Number of Shares/Certificates Issued | Class | Series Within Class (if any) |
|--------------------------------------|-------|------------------------------|
| | | |

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: _____ Name: _____
 NONE ☐ Name: _____ Name: _____

7. OFFICERS (If no changes since last report, check here ☐ and go on to Section 8.)

Name: BERNARD KAPLAN
 Title: PRESIDENT/CEO
 Address: 7848 N LA CANADA DR
TUCSON, AZ 85704-

Name: MARCIE BROWN
 Title: VICE-PRESIDENT
 Address: 7829 CALLE DE LA ALDEA
TUCSON, AZ 85704-

Date taking office: _____
 Name: SARAH ROGERS
 Title: SECRETARY
 Address: 7812 LA CANADA DR
TUCSON, AZ 85704-

Date taking office: _____
 Name: KENNA SMITH
 Title: TREASURER
 Address: 7821 N CALLE DE LA ALDEA
TUCSON, AZ 85704-

Date taking office: _____

Date taking office: _____

8. DIRECTORS Must List a Minimum of 3 Directors.

Name: KORY LUTZ
 Address: PRESIDENT

7809 N. CALLE DE LA ALDEA
TUCSON, AZ 85704
 Date taking office: 26 MAR 1999

Name: AL LIVELY - TRG AS.
 Address: 7825 N. CALLE DE LA ALDEA

TUCSON, AZ. 85704
 Date taking office: 26 MAR 1999

Name: BERNARD KAPLAN - VICE PRES.
 Address: 7848 N. LA CANADA DR.

TUCSON, AZ. 85704
 Date taking office: 26 MAR. 1999

Name: KENNA SMITH - SEC.
 Address: 7821 N CALLE DE LA ALDEA

TUCSON, AZ. 85704
 Date taking office: 26 MAR. 1999

LA CANADA VILLAGE HOMEOWNERS ASSOCIATION
7830 N. LA CANADA DR.
TUCSON, AZ. 85704-2000

BOARD OF DIRECTORS

PRESIDENT

KORY LUTZ
7809 N. CALLE DE LA ALDEA
TUCSON, AZ. 85704-2000

VICE-PRESIDENT

BERNARD KAPLAN
7848 N LA CANADA DR.
TUCSON, AZ. 85704-2000

TREASURER

AL LIVELY
7825 N. CALLE DE LA ALDEA
TUCSON, AZ. 85704-2000

SECRETARY

KENNA SMITH
7821 N. CALLE DE LA ALDEA
TUCSON, AZ. 85704-2000

TAX YEAR 1998

NORWEST BANK ARIZONA, N.A.
504-1234 PHX OR 1-800-326-6000
3300 NORTH CENTRAL AVE.
PHOENIX, AZ 85012-2501

86-0190844

R00117266

LA CANADA VILLAGE HOMEOWNERS ASSOC
7830 N LA CANADA
TUCSON AZ 85704

D

| |
|--------------------|
| FOR TAX YEAR |
| 1998 |
| TAXPAYER ID NUMBER |
| 86-0502106 |

1998 - 1099-INT, INTEREST INCOME

| | ACCOUNT NUMBER | |
|----------------|--------------------|--------|
| SAVINGS INT | 0-000-0-1171019886 | |
| BOX 1 | INTEREST INCOME | 63.41 |
| CHECKING INT | 0-000-0-2255603846 | |
| BOX 1 | INTEREST INCOME | 40.62 |
| TIME ACCT INT | 0-000-0-3300077185 | |
| BOX 1 | INTEREST INCOME | 198.31 |
| TOTAL INTEREST | | 302.34 |

| | | |
|--|-----------------------|--------|
| 1099-INT 1099-DIV | 1099-OID 1099-MISC | 1099-B |
| <p>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and IRS determines that it has not been reported.</p> <p>*Form 1099-OID: This may not be the correct figure to report on your income tax return. See instructions on back.</p> | | |
| 1099-A | 1099-C | |
| <p>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported.</p> | | |
| 1099-S | | |
| <p>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this item is required to be reported and the IRS determines that it has not been reported.</p> | | |
| 1098 - MORTGAGE | | |
| <p>* The amount shown next to Box 1 may not be fully deductible by you on your Federal income tax return. Limitations based on the cost and value of the secured property may apply. In addition, you may only deduct an amount of mortgage interest to the extent it was incurred by you, actually paid by you, and not reimbursed by another person.</p> <p>The information next to boxes 1, 2, and 3 is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the IRS determines that an underpayment of tax results because you overstated a deduction for this mortgage interest or for these points or because you did not report this refund of interest on your return.</p> <p>NOTICE: The Rule of 78s Method of Accounting may have been used to calculate interest received. You the borrower may not deduct the amount reported unless you are also properly using the Rule of 78s method to determine interest deductions. The Rule of 78s calculation may only be used in the case of a self-amortizing consumer loan that requires level payments, at regular intervals (at least annually), over a period not in excess of 5 years (with no balloon payment at the end of the loan term), and only when the loan agreement provides for use of the Rule of 78s method to determine interest earned.</p> | | |
| 1098-E | | |
| <p>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the IRS determines that an underpayment of tax results because you overstated a deduction for a student loan interest.</p> | | |

1099-INT, Interest Income, OMB No. 1545-0112

1099-DIV, Dividends and Distribution, OMB No. 1545-0110

1099-OID, Original Issue Discount, OMB No. 1545-0117

1098-E, Student Loan Interest Statement, OMB No. 1545-1576

1099-MISC, Miscellaneous Income, OMB No. 1545-0115

1099-A, Acquisition or Abandonment of Secured Property, OMB No. 1545-0877

1099-B, Proceeds from Broker and Barter Exchange Transactions, OMB No. 1545-0715

1099-C, Cancellation of Debt, OMB No. 1545-1424

1099-S, Proceeds from Real Estate Transactions, OMB No. 1545-0937

1098 Mortgage Interest Statement, OMB No. 1545-0901

LA CANADA VILLAGE HOMEOWNERS ASSOC. YEARLY REPORT FOR 1998

| | |
|--------------------------------------|---------------------------|
| BALANCE END OF DECEMBER 1997 | \$2791.41 |
| ASSOCIAION DUES AND TRANSFERS | \$39,420.00 |
| INTEREST | \$40.62 |
| TOTAL DEPOSITS | \$42,252.03 |
| DEBITS | |
| TUCSON ELECTRIC POWER | -\$3714.03 |
| U.S.WEST | -\$232.30 |
| S.W.GAS | -\$1801.50 |
| PREMATIC INSURANCE | -\$4072.32 |
| WASTE MANAGEMENT | -\$2178.04 |
| SIERRA LANDSCAPE | -\$12559.79 |
| METRO WATER | -\$3282.31 |
| MISC. LITE BULBS-POOL CHEM. | -\$2053.12 |
| ELECRIC REPAIR | -\$235.00 |
| POSTAGE | -\$12.80 |
| CURTIS PLUMBING SHUT OFF VALVE | -\$286.00 |
| ROTO ROOTER | -\$74.75 |
| DRAIN DOCTOR 7813-7817 | -\$187.50 |
| COPIES 1998 | -\$97.44 |
| ADJ. FOR AUDIT | +\$29.62 |
| BETTER POOL CARE | -\$1689.51 |
| YEARLY BACKFLOW TEST | -\$30.00 |
| TRNSF. TO M.M. ACCT. | -\$3360.00 |
| BANK CHARGES | -\$78.00 |
| MEADOWS PLASTERING | -\$1434.00 |
| ROTO ROOTER | -\$1568.25 |
| SPA FILTER | -\$500.00 |
| DRIP CONVERSION | -\$774.38 |
| BALANCE END OF DECEMBER 1998 | \$2060.25 |
| | |
| CAPITOL EXP. ACCT. DEC. 1997 | \$8918.23 |
| TRANSF. TO CERTIF. OF DEP. | -\$5183.45 |
| SPA PAYMENT TO CHECK. ACCT. | -\$1434.00 |
| ALBRECHT PAVING | -\$1800.00 |
| GAS PIPES/TREE REMOVE TO CHK. | -\$807.42 |
| TRANSFERS FROM CHECKING | \$3360.00 |
| INTEREST | \$113.08 |
| TOTAL | \$3166.44 |
| | |
| C.D.W/INTEREST THRU 9 DEC.98 | \$5332.09 |
| | |
| <u>TOTAL ALL ACCTS.DEC.98</u> | <u>\$10,558.78</u> |

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Only nonprofit corporations must attach a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only.

This corporation **does** ☒ **does not** ☐ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-2505.A)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
(b) the consumer fraud laws of that jurisdiction, or
(c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked: **YES** ☐ **NO** ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number. |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §10-202.D.2)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation?

One box must be marked: **YES** ☐ **NO** ☒

If YES, enter the following: Chapter _____ Date Filed _____ Case Number _____

If "YES" the following information must be submitted as an attachment to this report for each person subject to the statement above:
(1) The names and addresses of each corporation and the person or persons involved. (2) The state in which each corporation was a incorporated b) transacted business. (3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

| | | | |
|------------------------------|--------------------|---------------------------------|--------------------|
| Name <u>Kay Lutz</u> | Date <u>4/4/99</u> | Name <u>BERNARD KAPLAN</u> | Date <u>4/4/99</u> |
| Signature <u>[Signature]</u> | | Signature <u>Bernard Kaplan</u> | |
| Title <u>President</u> | | Title <u>Vice President</u> | |

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)