



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE



DUE ON OR BEFORE 04/07/1998

FILING FEE \$10.00

The following information is required by A.R.S. §10-1622 & §10-2501 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-2545.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. See instructions for proper format. **REFER TO THE INSTRUCTIONS ON PAGE 4.**

1. SHADOW ROCK RANCH PROPERTY OWNERS ASSOCIATION, INC. **RECEIVED**
% RAYMOND W BROWN
141 S MCCORMICK #211
PRESCOTT, AZ 86303
FEB 26 1998

Corporation File Number:

(Business phone is optional)

Business Phone:

State of Domicile: ARIZONA

Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: RAYMOND W BROWN
Street Address: 141 S MCCORMICK #211
(NOT P.O. BOX)
City, State, Zip: PRESCOTT AZ 86303-

ACC USE ONLY

Fee \$ 10

Penalty \$

Reinstate \$

Expedite \$

Total \$ 0

FY97-98



If appointing a new statutory agent, the new agent **MUST** consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature

A.C.C. CORPORATIONS DIV.
RECEIVED

DEC 22 1998

DOCUMENTS ARE SUBJECT
TO REVIEW BEFORE FILING

3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|---|--|
| <input type="checkbox"/> 1. Accounting | <input type="checkbox"/> 20. Manufacturing |
| <input type="checkbox"/> 2. Advertising | <input type="checkbox"/> 21. Mining |
| <input type="checkbox"/> 3. Aerospace | <input type="checkbox"/> 22. News Media |
| <input type="checkbox"/> 4. Agriculture | <input type="checkbox"/> 23. Pharmaceutical |
| <input type="checkbox"/> 5. Architecture | <input type="checkbox"/> 24. Publishing/Printing |
| <input type="checkbox"/> 6. Banking/Finance | <input type="checkbox"/> 25. Ranching/Livestock |
| <input type="checkbox"/> 7. Barbers/Cosmetology | <input type="checkbox"/> 26. Real Estate |
| <input type="checkbox"/> 8. Construction | <input type="checkbox"/> 27. Restaurant/Bar |
| <input type="checkbox"/> 9. Contractor | <input type="checkbox"/> 28. Retail Sales |
| <input type="checkbox"/> 10. Credit/Collection | <input type="checkbox"/> 29. Science/Research |
| <input type="checkbox"/> 11. Education | <input type="checkbox"/> 30. Sports/Sporting Events |
| <input type="checkbox"/> 12. Engineering | <input type="checkbox"/> 31. Technology(Computers) |
| <input type="checkbox"/> 13. Entertainment | <input type="checkbox"/> 32. Technology(General) |
| <input type="checkbox"/> 14. General Consulting | <input type="checkbox"/> 33. Television/Radio |
| <input type="checkbox"/> 15. Health Care | <input type="checkbox"/> 34. Tourism/Convention Services |
| <input type="checkbox"/> 16. Hotel/Motel | <input type="checkbox"/> 35. Transportation |
| <input type="checkbox"/> 17. Import/Export | <input type="checkbox"/> 36. Utilities |
| <input type="checkbox"/> 18. Insurance | <input type="checkbox"/> 37. Veterinary Medicine/Animal Care |
| <input type="checkbox"/> 19. Legal Services | <input type="checkbox"/> 38. Other |

NON-PROFIT CORPORATIONS

- | |
|--|
| <input type="checkbox"/> 1. Charitable |
| <input type="checkbox"/> 2. Benevolent |
| <input type="checkbox"/> 3. Educational |
| <input type="checkbox"/> 4. Civic |
| <input type="checkbox"/> 5. Political |
| <input type="checkbox"/> 6. Religious |
| <input type="checkbox"/> 7. Social |
| <input type="checkbox"/> 8. Literary |
| <input type="checkbox"/> 9. Cultural |
| <input type="checkbox"/> 10. Athletic |
| <input type="checkbox"/> 11. Science/Research |
| <input type="checkbox"/> 12. Hospital/Health Care |
| <input type="checkbox"/> 13. Agricultural |
| <input type="checkbox"/> 14. Animal Husbandry |
| <input checked="" type="checkbox"/> 15. Homeowner's Association |
| <input type="checkbox"/> 16. Professional, commercial
industrial or trade association |
| <input type="checkbox"/> 17. Other |

5. CAPITALIZATION: (Business Corporations and Business Trusts are REQUIRED to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized Class Series Within Class (if any)

Number of Shares/Certificates Issued Class Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are REQUIRED to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: _____ Name: _____

NONE ☐

Name: _____ Name: _____

7. OFFICERS (Attach additional sheets if necessary.)

Name: Gary P. Sumner

Title: President

Address: 1020 Sandretto Dr. Ste B,
PRESCOTT, AZ 86301

Date taking office: _____

Name: _____

Title: _____

Address: _____

Date taking office: _____

Name: RODRICK MacAlpine *(Resigned)*

Title: SECRETARY / TREASURER

Address: 502 MARKET ST. #15, PORTSMOUTH,
NH 03801

Date taking office: 7-27-97

Name: LYNDA K. MORTISSEY

Title: SECRETARY / TREASURER

Address: 1020 SANDRETTO DR. SILVER
PRESCOTT, AZ 86301

Date taking office: 9-30-98

8. DIRECTORS (If no changes since last report, check here _____ and go on to Section 9.)

Name: GARY P SUMNER

Address: 1020 SANDRETTO DR STE B

PRESCOTT, AZ 86301-

Date taking office: 07-07-97

Name: RENEE HOWES

Address: 1020 SANDRETTO DR STE B

PRESCOTT, AZ 86301-

Date taking office: 07-07-97

Name: KARAN OSBORNE *(Resigned 8-27-97)*

Address: 1020 SANDRETTO DR STE B

PRESCOTT, AZ 86301-

Date taking office: 07-07-97

Name: Steven M. Wagner

Address: 1020 Sandretto Dr, Ste B

Prescott, AZ 86301

Date taking office: 8-27-97

MINUTES OF SPECIAL MEETING

SHADOW ROCK RANCH PROPERTY OWNERS ASSOCIATION, INC.

A special meeting of the Board of Directors of Shadow Rock Ranch Property Owners Association, Inc. organized under and by virtue of the laws of Arizona, was held on the 27th day of August, 1997 at 9:00 a.m. pursuant to waiver of notice and consent signed by all of the directors of the corporation.

There were present at the meeting the following Directors: Roderick D. MacAlpine, Secretary/Treasurer, Gary P. Sumner, President, constituting all of the directors of the corporation..

The Chairman announced that the subject of the meeting was to address the resignation of Karan Osborne, dated August 22, 1997 as Vice-President and Designated Broker of the corporation and to appoint Steven M. Wagner, as a Vice-President and designated broker of Shadow Rock Ranch Property Owners Association, Inc. Upon motion duly made and seconded, the following resolution was unanimously adopted.

RESOLVED; Karan Osborne's resignation as Vice-President and Designated Broker for the corporation is accepted and Steven M. Wagner, is appointed as Vice-President and Designated Broker for the corporation.

The Chairman then announced that if there was no further business to come before the meeting, a motion to adjourn would be entertained and such motion was made, seconded, and unanimously adopted.


Gary P. Sumner, Chairman

ATTEST:

Roderick Mac Alpine

WAIVER OF NOTICE

We, the undersigned, being all of the directors of Shadow Rock Ranch Property Owners Association, Inc. a corporation organized and existing under the laws of the state of Arizona, do hereby waive any and all notice of the above and foregoing special meeting of the Board of Directors of said company and consent to, ratify, and approve and confirm any and all business transacted at such meeting as set out in the minutes thereof.

Roderick Mac Alpine
Roderick D. MacAlpine

Gary P. Sumner
Gary P. Sumner

Renee Howes
Renee Howes

MINUTES OF SPECIAL MEETING

SHADOW ROCK RANCH PROPERTY OWNERS ASSOCIATION, INC.

A special meeting of the Board of Directors of Shadow Rock Ranch Property Owners Association, Inc. organized under and by virtue of the laws of Arizona, was held on the 30th day of September, 1998 at 2:45 p.m. pursuant to waiver of notice and consent signed by all of the directors of the corporation.

There were present at the meeting the following Directors: Gary P. Sumner, Renee Howes and Steven Wagner. Gary P. Sumner called the meeting to order and announced the purpose of the meeting was to address the resignation of Roderick D. MacAlpine, dated September 29, 1998 as Secretary/Treasurer for the corporation and to appoint Lynda K. Morrissey as Secretary/Treasurer for Shadow Rock Ranch Property Owners Association, Inc. Upon motion duly made and seconded, the following resolution was unanimously adopted.

RESOLVED: Roderick D. Macalpine's resignation as Secretary/Treasurer For the corporation is accepted and Lynda K. Morrissey is appointed as Secretary/Treasurer for the corporation.

There was no further business and, upon motion duly made, seconded and carried unanimously, the meeting was adjourned.


GARY P. SUMNER, President

ATTEST:


LYNDA K. MORRISSEY, Secretary/Treasurer

SHADOW ROCK RANCH PROPERTY OWNERS ASSOCIATION, INC.
CORPORATION ANNUAL REPORT & CERTIFICATE OF DISCLOSURE

DUE ON OR BEFORE 04/07/98

ATTACHMENT

9. FINANCIAL DISCLOSURE

CORPORATION IS NON-PROFIT

Corporation has not had any financial activity to date,
no financial statement is available

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-2501.A.6)

Only corporations that meet one or more of the following criteria must **attach** a financial statement (balance sheet including assets, liabilities and equity). The corporation is: 1) a **public service corporation** (e.g., public utility) as defined in Article XV, Section 2, Constitution of Arizona. 2) offers its **stock for sale** in transactions that are not exempt from A.R.S. §§ 44-1841 and 44-1842 as prescribed in §44-1844.A.1 (e.g., publicly traded). 3) a **nonprofit corporation**. All other forms of corporations are exempt from filing a financial disclosure.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-2505.A)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities; consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked:**YES** ☐**NO** ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §10-202.D.2)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation?

One box **must** be marked:**YES** ☐**NO** ☒

If YES, enter the following:

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information **must be submitted** as an attachment to this report for each person subject to the statement above.

- 1) The names and addresses of each corporation and the person or persons involved.
- 2) The state in which each corporation was a) incorporated b) transacted business.
- 3) The dates of corporate operation.

12. **CAUTION** Signature requirements vary according to the type of corporation. See the instruction sheet for specific rules. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name <u>Gary P. Sumner</u>	Date <u>8/5/98</u>	Name <u>LYNDA MORRISSEY</u>	Date <u>12/6/98</u>
Signature <u>Gary P. Sumner</u>		Signature <u>Lynda Morrissey</u>	
Title <u>President</u>		Title <u>SECRETARY</u>	

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)