



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE



DUE ON OR BEFORE 10/16/1997

FILING FEE \$10.00

The following information is required by A.R.S. §10-1622 & §10-2501 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-2545.A. YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary.
REFER TO THE INSTRUCTIONS ON PAGE 4.

1. UNITED WAY OF GREATER TUCSON, ARIZONA
6840 E BROADWAY
TUCSON, AZ 85711

Corporation File Number:

-0038907-4

Business Phone:

(Business phone is optional.)

State of Domicile: ARIZONA

Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: BARRY M COREY
Street Address: 1 S CHURCH AV #830
(NOT P.O. BOX)
City, State, Zip: TUCSON AZ 85701-

ACC USE ONLY

Fee \$ 10

Penalty \$

Reinstate \$

Expedite \$

Total \$

FY97-98

PAID

If appointing a new statutory agent, the new agent **MUST** consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature

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3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- | | |
|------------------------|-------------------------------------|
| 1. Accounting | 20. Manufacturing |
| 2. Advertising | 21. Mining |
| 3. Aerospace | 22. News Media |
| 4. Agriculture | 23. Pharmaceutical |
| 5. Architecture | 24. Publishing/Printing |
| 6. Banking/Finance | 25. Ranching/Livestock |
| 7. Barbers/Cosmetology | 26. Real Estate |
| 8. Construction | 27. Restaurant/Bar |
| 9. Contractor | 28. Retail Sales |
| 10. Credit/Collection | 29. Science/Research |
| 11. Education | 30. Sports/Sporting Events |
| 12. Engineering | 31. Technology(Computers) |
| 13. Entertainment | 32. Technology(General) |
| 14. General Consulting | 33. Television/Radio |
| 15. Health Care | 34. Tourism/Convention Services |
| 16. Hotel/Motel | 35. Transportation |
| 17. Import/Export | 36. Utilities |
| 18. Insurance | 37. Veterinary Medicine/Animal Care |
| 19. Legal Services | 38. Other |

NON-PROFIT CORPORATIONS

- | |
|--|
| 1. ☒ Charitable |
| 2. ☐ Benevolent |
| 3. ☐ Educational |
| 4. ☐ Civic |
| 5. ☐ Political |
| 6. ☐ Religious |
| 7. ☐ Social |
| 8. ☐ Literary |
| 9. ☐ Cultural |
| 10. ☐ Athletic |
| 11. ☐ Science/Research |
| 12. ☐ Hospital/Health Care |
| 13. ☐ Agricultural |
| 14. ☐ Animal Husbandry |
| 15. ☐ Homeowner's Association |
| 16. ☐ Professional, commercial
industrial or trade association |
| 17. ☐ Other |

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ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

5. **CAPITALIZATION:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized	Class	Series Within Class (if any)

Number of Shares/Certificates Issued	Class	Series Within Class (if any)

6. **SHAREHOLDERS:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: _____ Name: _____
 NONE ☐ Name: _____ Name: _____

7. **OFFICERS** (If no changes since last report, check here ☐ and go on to Section 8.) SEE ATTACHED

Name: <u>LAWRENCE J ALDRICH</u>	Name: <u>CELESTINO FERNANDEZ</u>
Title: <u>PRESIDENT/CEO</u>	Title: <u>VICE-PRESIDENT</u>
Address: <u>P-O BOX 26887</u>	Address: <u>101 S STONE AVE STE 200</u>
<u>TUCSON, AZ 85726-6887</u>	<u>TUCSON, AZ 85701</u>

Date taking office: _____	Date taking office: <u> </u>
Name: <u>SUSAN L ERNSKY</u>	Name: <u>JOSEPH R PENNINGTON</u>
Title: <u>SECRETARY</u>	Title: <u>TREASURER</u>
Address: <u>6120 N VIA PRESILLA</u>	Address: <u>P-O BOX 65300</u>
<u>TUCSON, AZ 85718</u>	<u>TUCSON, AZ 85728-5300</u>

Date taking office: _____ Date taking office: _____

8. **DIRECTORS** (If no changes since last report, check here ☐ and go on to Section 9.) SEE ATTACHED

Name: <u>PATRICIA ANDRADE</u>	Name: <u>COLETTE A BARAJAS</u>
Address: <u>6741 N PLACITA MANZANITA</u>	Address: <u>7001 W ST MARYS RD #708</u>
<u>TUCSON, AZ 85718</u>	<u>TUCSON, AZ 85745</u>

Date taking office: _____ Date taking office: _____

Name: <u>EDWIN L BIGGERS</u>	Name: <u>MICHAEL F BROWN</u>
Address: <u>7322 N AVENIDA DE LISA</u>	Address: <u>P-O BOX 27210</u>
<u>TUCSON, AZ 85704-7004</u>	<u>TUCSON, AZ 85726-7210</u>

Date taking office: _____ Date taking office: _____

UNITED WAY OF GREATER TUCSON

BOARD OF DIRECTORS

1996-97

CONFIDENTIAL

0038907
4

*For Information re the Board of Directors,
Please Contact One of the Following Staff*

Edmund B. Parker, President

Kathryn Jolin, Administrative Assistant

6840 E Broadway (85710) ♦ P.O. Box 13290 (85732)

Phone: (520) 722-6000 ♦ Fax: (520) 722-6066

OFFICERS/EXECUTIVE COMMITTEE

BOARD OFFICE	NAME/ADDRESS	PHONE/FAX #	TERM*
CHAIRMAN	Stephen E. Jewett, Publisher & CEO Territorial Newspapers P O Box 27087 3280 E Hemisphere Loop Ste 174 Tucson, AZ 85726-7087	B: 294-1200 R: 575-9343 F: 294-4040	1991/97
CHAIRMAN ELECT	Neal A. Eckel, Partner Durazzo & Eckel, P.C. 45 N Tucson Blvd Tucson, AZ 85716-4737	B: 792-0448 R: 743-8701 F: 622-5267	1994/98
1ST CHAIRMAN	Bruce D. Beach, President Beach, Fleischman & Company, PC 3503 N Campbell Ave #300 Tucson, AZ 85719-2007	B: 321-4600 R: 297-5415 F: 321-4040	1993/99
SECRETARY	Susan L. Ernsky Vice President - Marketing Mission Management & Trust Company 3567 E Sunrise Dr Ste 235 Tucson, AZ 85718	B: 577-5559 R: 577-9841 F: 577-6781	1993/99
TREASURER	Joseph (Joe) R. Pennington, CFP President Personal Financial Advisors, Inc. P O Box 65300 Tucson, AZ 85728-5300	B: 529-1591 R: 577-0246 F: 577-9096 E: jrpenni@azstarnet.com	1994/98

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RD OFFICE	NAME/ADDRESS	PHONE/FAX #	TERM*
	Linda Cohen Vice President & Director of Marketing National Bank of Arizona Marketing Department 335 N Wilmot Rd Tucson, AZ 85711	B: 571-1500 R: 577-7315 F: 748-3379 E: lcohen@azstarnet.com	1996/97
	Olga Ford 733 W Calle Aragon Tucson, AZ 85706 (Southern Arizona Central Labor Council Representative)	R: 889-1578 F:	1996/97
	George F. Garcia, Superintendent Tucson Unified School District Post Office Box 40400 (1010 East 10 Street) Tucson, AZ 85717	B: 617-7336 R: 299-1935 F: 617-7309	1992/97
	Michael W. Hard Executive Officer, Southern Arizona Bank One, Arizona Executive Office, Southern Arizona 2 E Congress Ave A-600 Tucson, AZ 85701-1791	B: 792-7150 R: F: 792-5942 E: mikehardsr@aol.com	1993/99
	Jack B. Jewett Director of Government Relations HealthPartners of Southern Arizona 333 E Wetmore Rd Tucson, AZ 85705	B: 696-8958 R: 299-7065 F: 696-4290	1994/97
	Karen G. Kissinger Vice President and Controller Tucson Electric Power Company, Accounting P O Box 711 (220 W 6th St) Tucson, AZ 85702-0711	B: 884-3999 R: 298-5589 F: 884-3991	1993/99
	Bruce Read Mesa International, Inc. 7389 N Camino Sin Vacas Tucson, AZ 85718	B: 544-9445 R: 575-9291 F: 544-0076	1996/99

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0038907-4

MEMBERS-AT-LARGE/  
FUNCTIONAL DIVISION CHAIRMEN

| BOARD OFFICE | NAME/ADDRESS                                                                                                                                                     | PHONE/FAX #                                                                  | TERM*   |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---------|
|              | Lawrence J. Aldrich, President<br>Tucson Newspapers<br>Administration<br>P O Box 26887<br>Tucson, AZ 85726-6887                                                  | B: 573-4255<br>R:<br>F: 573-4685 or<br>573-4294<br>E: banjomah@azstarnet.com | 1994/97 |
|              | Bruce I. Ash<br>Vice President<br>Paul Ash Investment Company<br>2026 E Prince Rd<br>MAIL TO: 6570 E Santa Aurelia<br>Tucson, AZ 85715                           | B: 795-2100<br>R: 886-7627<br>F: 785-9849<br>E: 1lafan@aol.com               | 1996/99 |
|              | Garth R. Bacigalupi<br>Sales Associate<br>Tucson Realty & Trust<br>1890 E River Road<br>(Agency Representative)<br>MAIL TO: 5143 E Eighth St<br>Tucson, AZ 85711 | B: 529-5080, X 206<br>R: 745-2491<br>F: 529-5099                             | 1996/99 |
|              | Gary C. Bartholomew<br>Store Team Leader<br>Target Stores<br>5255 E Broadway Blvd<br>Tucson, AZ 85711                                                            | B: 745-8001, X 201<br>R: 886-8621<br>F: 745-8001, X 271                      | 1996/99 |
|              | Edwin L. Biggers<br>7322 N Avenida De Lisa<br>Tucson, AZ 85704-7004                                                                                              | R: 742-1396                                                                  | 1995/98 |
|              | John C. Buckingham, Jr., President<br>Ace Asphalt of Tucson, Inc.<br>4030 E Michigan St<br>Tucson, AZ 85714                                                      | B: 747-7700<br>R: 529-9464<br>F: 747-7481                                    | 1994/97 |
|              | John L. Claps<br>Senior Vice President & Managing Executive<br>Northern Trust Bank of Arizona<br>6373 E Tanque Verde Road Ste 100<br>Tucson, AZ 85715-3835       | B: 721-3264<br>R: 529-8390<br>F: 886-4343<br>E: claps.john@tntv7.ntrs.com    | 1996/99 |

| RD OFFICE | NAME/ADDRESS | PHONE/FAX # | TERM* |
|-----------|--------------|-------------|-------|
|-----------|--------------|-------------|-------|

Gerrit C. Cormany, Area President  
Wells Fargo Bank  
150 N Stone Ave  
Tucson, AZ 85701

B: 792-5414  
R: 529-7469  
F: 792-5312

1992/97

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Richard L. Ellingson, Area Operations Manager  
US West Communications  
310 South Williams, Ste 240  
MAIL TO: 3827 E Mount Kimball Place  
Tucson, AZ 85718

B: 512-8911  
R: 299-2366  
F:

1993/99

Sally Garza Fernandez  
The Fernandez Group  
6011 N Desert Moon Ct  
Tucson, AZ 85750

B: 529-8296  
R:  
F:

1994/99

Art Gonzales, Executive Vice President  
Bank of America  
P O Box 26936  
Tucson, AZ 85726

B: 792-7735  
R: 797-9405  
F: 792-7065

1995/98

Javier (Jay) Gonzales  
Manager, Public Affairs  
Tucson Electric Power Company  
P O Box 711 (220 W Sixth St)  
Tucson, AZ 85702-0711 (MLDP Representative)

B: 884-3743  
R: 733-9460  
F: 700-2042

1995/97

Duff C. Hearon, President  
The Ashland Group  
1050 E River Rd, Ste 100  
Tucson, AZ 85718-5736

B: 293-9000  
R: 299-1642  
F: 293-0539

1993/99

Maxine G. Henig  
5465 E Gleneagles Dr  
Tucson, AZ 85718-1805

R: 577-8550  
F: 577-7686

1995/98

Robert D. Jensen, Chancellor  
Pima County Community College  
4905-C E Broadway Blvd  
Tucson, AZ 85709-1005

B: 748-4747  
R: 749-5474  
F: 748-4990

1995/98

James P. Kane  
Vice President of Southern AZ Div.  
Southwest Gas Corporation  
P O Box 26500

B: 794-6500  
R:  
F: 794-6506

1993/99

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| BOARD OFFICE | NAME/ADDRESS                                                                                                                                                                                                                 | PHONE/FAX #                                                      | TERM*   |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|---------|
|              | Kevin Keeley, General Manager<br>Rural/Metro Corporation<br>490 W Magee Rd<br>Tucson, AZ 85704                                                                                                                               | B: 297-3600<br>R:<br>F: 797-1825                                 | 1996/97 |
|              | Dick Kisthardt<br>MAIL TO: 2750 N Dodge Blvd<br>Tucson, AZ 85716<br>(Agency Representative)                                                                                                                                  | B:<br>R: 320-0211<br>F:                                          | 1995/98 |
|              | Richard W. Krivel<br>Executive Vice President<br>National Bank of Arizona<br>P O Box 12009<br>Tucson, AZ 85732-2009                                                                                                          | B: 571-1500<br>R:<br>F:                                          | 1995/97 |
|              | Benny M. McCabe<br>Employment Specialist<br>Tucson Indian Center<br>P O Box 2307 (131 E Broadway Blvd)<br>Tucson, AZ 85702<br>MAIL TO: P O Box 2023 Tucson, AZ 85702                                                         | B: 884-7131<br>R: 326-5046<br>F: 884-0240 (B)<br>F: 316-9642 (R) | 1995/98 |
|              | Jeffrey L. McCord, Marketing Executive<br>Guthrie Latex, Inc.<br>7400 N Oracle Rd, Ste 330<br>Tucson, AZ 85704                                                                                                               | B: 742-3087<br>Ext. 102<br>R: 825-9260<br>F: 575-0511            | 1991/97 |
|              | David L. McPherson<br>Chief Executive Officer<br>Hughes Missile Systems Co.<br>Post Office Box 11337<br>Tucson, AZ 85734-1337                                                                                                | B: 794-7744<br>R:<br>F:                                          | 1996/99 |
|              | Keith E. Meredith<br>Director - Gerontological Studies<br>Associate Director - Geriatrics (ACOA)<br>University of Arizona/ Arizona Center on Aging<br>(1821 E Elm St - 85719-4324)<br>MAIL TO: 9711 N Horizon Vista Pl 85737 | B: 626-6854<br>R: 297-5756<br>F: 626-2019 (B)<br>F: 575-8000 (R) | 1993/99 |
|              | Caren F. Newman<br>5891 E Finisterra Dr<br>Tucson, AZ 85750<br>(Agency Representative)                                                                                                                                       | R: 299-0585<br>F: 577-1426                                       | 1995/98 |

Manuel T. Pacheco, President  
The University of Arizona  
712 Administration Building  
Tucson, AZ 85721

B: 621-5511 1991/97  
F: 621-7475

David F. Peachin, Owner  
Peachin & Peto CPA's, Ltd.  
310 S Williams Blvd, Ste 260  
P O Box 12888  
Tucson, AZ 85732-2888

B: 790-0044 1991/97  
R: 296-1506  
F: 790-0011

Barbara J. Peck, Principal  
LP & G  
177 N Church Ave, Ste 608  
Tucson, AZ 85701  
(Agency Representative)

B: 624-1116 1994/97  
R: 293-2313  
F: 624-0272  
E: lpg@azstarnet.com

Malcolm D. Potter, President  
Worldwide Travel, Inc.  
2900 E Broadway Blvd #113  
Tucson, AZ 85716

B: 327-5651 1995/98  
R: 529-2762  
F: 881-1769

John (Jack) F. Redavid  
Director of Human Resources  
City of Tucson Human Resources Department  
P O Box 27210 - HR  
Tucson, AZ 85726-7210

B: 791-4241 1994/98  
R: 742-1203  
F: 791-4236

Thomas L. Roof, President  
T. L. Roof & Associates Construction Co.  
P O Box 27285  
Tucson, AZ 85726-7285

B: 792-0734 1995/98  
R:  
F: 792-0958

Ronald G. Russell  
IBM Site Operations Manager  
IBM Corporation  
Storage Products Division Dept 75C  
9000 S Rita Rd  
Tucson, AZ 85744-9392

B: 799-5563 1996/97  
R: 749-8932  
F: 799-4062  
E: rrussell@tucvm2.vnet.ibm.com

Sarah (Sally) R. Simmons  
Lawyer, Director  
Brown & Bain PA  
P O Box 2265 (19th Floor, One S Church Ave)  
Tucson, AZ 85702-2265

B: 798-7915 1995/98  
R: 299-5739  
F: 798-7945



August 11, 1997

Arizona Corporation Commission

RE: Annual report of United Way of Greater Tucson  
As of June 30, 1997

We have compiled the statement of financial condition of the above named company for the date indicated above and included in the accompanying prescribed form, in accordance with the Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

Our compilation was limited to presenting in the form prescribed by the Arizona Corporation Commission information that is the representation of management. We have not audited or reviewed the financial statements referred to above and, accordingly, do not express an opinion or any other form of assurance.

These financial statements are presented in accordance with the requirements of the Arizona Corporation Commission, which differ from generally accepted accounting principles and do not include all the disclosures required by generally accepted accounting principles. Accordingly, these financial statements are not designed for those who are not informed about such differences.

*Bratt, Girvin & Devries, P.C.*

BRATT, GIRVIN & DEVRIES, P.C.  
Certified Public Accountants

RICHARD A. BRATT, CPA ♦ JOHN T. GIRVIN, CPA ♦ MARIANNE E. DEVRIES, CPA

1200 NORTH EL DORADO PLACE, SUITE C-300 ♦ TUCSON, ARIZONA 85715 (520) 298-6200 ♦ FAX (520) 298-7656 ♦ EMAIL: BRATT@AZSTARNET.COM  
MEMBERS: ARIZONA SOCIETY OF CERTIFIED PUBLIC ACCOUNTANTS ♦ AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS (PRIVATE COMPANIES PRACTICE SECTION)

**Part IV Balance Sheets** (See Specific Instructions on page 16.)**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

|     |                                                                                                                                                                  | (A)<br>Beginning of year |     | (B)<br>End of year |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----|--------------------|
| 45  | Cash - non-interest-bearing .....                                                                                                                                | 1387543                  | 45  | 761497             |
| 46  | Savings and temporary cash investments .....                                                                                                                     | 243488                   | 46  | 880260             |
| 47a | Accounts receivable .....                                                                                                                                        | 55551                    |     |                    |
| 47b | Less: allowance for doubtful accounts .....                                                                                                                      |                          | 47c | 55551              |
| 48a | Pledges receivable .....                                                                                                                                         | 3490170                  |     |                    |
| 48b | Less: allowance for doubtful accounts .....                                                                                                                      | 563916                   | 48c | 2926254            |
| 49  | Grants receivable .....                                                                                                                                          |                          | 49  |                    |
| 50  | Receivables from officers, directors, trustees, and key employees (attach sch) .....                                                                             |                          | 50  |                    |
| 51a | Other notes and loans receivable (attach schedule) .....                                                                                                         |                          | 51c |                    |
| 51b | Less: allowance for doubtful accounts .....                                                                                                                      |                          | 52  |                    |
| 52  | Inventories for sale or use .....                                                                                                                                |                          | 53  | 41344              |
| 53  | Prepaid expenses and deferred charges .....                                                                                                                      | 39180                    | 54  | 258085             |
| 54  | Investments - securities (attach schedule) .....                                                                                                                 | See Statement 4          |     |                    |
| 55a | Investments - land, buildings, and equipment: basis .....                                                                                                        | 212444                   | 55c | 212444             |
| 55b | Less: accumulated depreciation (attach schedule) See St. 5 .....                                                                                                 |                          | 56  | 251237             |
| 56  | Investments - other (attach schedule) .....                                                                                                                      | See Statement 6          |     |                    |
| 57a | Land, buildings, and equipment: basis .....                                                                                                                      | 948263                   |     |                    |
| 57b | Less: accumulated depreciation (attach schedule) See St. 7 .....                                                                                                 | 237840                   | 57c | 710423             |
| 58  | Other assets (describe ► .....                                                                                                                                   |                          | 58  |                    |
| 59  | <b>Total assets</b> (add lines 45 through 58) (must equal line 74) .....                                                                                         | 5401660                  | 59  | 6097095            |
| 60  | Accounts payable and accrued expenses .....                                                                                                                      | 399142                   | 60  | 582695             |
| 61  | Grants payable .....                                                                                                                                             |                          | 61  |                    |
| 62  | Deferred revenue .....                                                                                                                                           |                          | 62  |                    |
| 63  | Loans from officers, directors, trustees, and key employees (attach schedule) .....                                                                              |                          | 63  |                    |
| 64a | Tax-exempt bond liabilities (attach schedule) .....                                                                                                              |                          | 64a |                    |
| 64b | Mortgages and other notes payable (attach schedule) .....                                                                                                        | 422752                   | 64b | 364031             |
| 65  | Other liabilities (describe ► See Statement 9 .....                                                                                                              | 1622856                  | 65  | 1717444            |
| 66  | <b>Total liabilities</b> (add lines 60 through 65) .....                                                                                                         | 2444750                  | 66  | 2664170            |
| 67  | Unrestricted .....                                                                                                                                               | 592852                   | 67  | 529115             |
| 68  | Temporarily restricted .....                                                                                                                                     | 2151371                  | 68  | 2582079            |
| 69  | Permanently restricted .....                                                                                                                                     | 212687                   | 69  | 321731             |
| 70  | Capital stock, trust principal, or current funds .....                                                                                                           |                          | 70  |                    |
| 71  | Paid-in or capital surplus, or land, building, and equipment fund .....                                                                                          |                          | 71  |                    |
| 72  | Retained earnings, endowment, accumulated income, or other funds .....                                                                                           |                          | 72  |                    |
| 73  | <b>Total net assets or fund balances</b> (add lines 67 through 69 OR lines 70 through 72; column (A) must equal line 19 and column (B) must equal line 21) ..... | 2956910                  | 73  | 3432925            |
| 74  | <b>Total liabilities and net assets/fund balances</b> (add lines 66 and 73) .....                                                                                | 5401660                  | 74  | 6097095            |

ASSETS

LIABILITIES

NET ASSETS OR FUND BALANCES

0038907

**9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-2501.A.6)**

Only corporations that meet one or more of the following criteria must attach a financial statement (balance sheet including assets, liabilities and equity). The corporation is: 1) a public service corporation (e.g., public utility) as defined in Article XV, Section 2, Constitution of Arizona. 2) offers its stock for sale in transactions that are not exempt from A.R.S. §§ 44-1841 and 44-1842 as prescribed in §44-1844.A.1 (e.g., publicly traded). 3) a nonprofit corporation. All other forms of corporations are exempt from filing a financial disclosure.

**10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-2505.A)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked:

YES ☐

NO ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |                                                             |                                                                                                                                                                             |
|-------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Full name and prior names used.                          | 5. Date and location of birth.                                                                                                                                              |
| 2. Full birth name.                                         | 6. Social Security Number                                                                                                                                                   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |                                                                                                                                                                             |

**11. STATEMENT OF BANKRUPTCY (A.R.S. §10-202.D.2)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation?

One box must be marked:

YES ☐

NO ☒

If YES, enter the following:

Chapter \_\_\_\_\_ Date Filed \_\_\_\_\_ Case Number \_\_\_\_\_

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above. 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

**12. CAUTION: Signature requirements vary according to the type of corporation. See the instruction sheet for specific rules. Annual Reports submitted with incorrect signatures will be rejected.**

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

|                              |                     |                              |                      |
|------------------------------|---------------------|------------------------------|----------------------|
| Name <u>Larry J. Aldrich</u> | Date <u>12/5/97</u> | Name <u>Edmund B. Parker</u> | Date <u>11/16/97</u> |
| Signature <u>[Signature]</u> |                     | Signature <u>[Signature]</u> |                      |
| Title <u>Secretary</u>       |                     | Title <u>President</u>       |                      |

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)