



# STATE OF ARIZONA CORPORATION COMMISSION



## BUSINESS CORPORATION ANNUAL REPORT & CERTIFICATE OF DISCLOSURE DOMESTIC

FOR FISCAL YEAR ENDING 09/30/1996

DUE ON OR BEFORE 07/15/1997

The following information is required by A.R.S. §10-125 for all general corporations organized for profit, professional corporations, and business trusts authorized to transact business in Arizona. The Commission's authority to prescribe this form is A.R.S. §10-142. MAKE CHANGES OR CORRECTIONS WHERE NECESSARY

Corporation File: 114929-1  
Corporation Name: VALLEY PUMP & MACHINE WORKS, INC.  
Address: 701 W 2ND ST

City, State, Zip: CASA GRANDE AZ 85222  
Domicile: ARIZONA  
Type: PROFIT

Arizona Statutory Agent: TERRY EMIG  
Street Address: 701 W 2ND ST  
(NOT P.O. BOX) CASA GRANDE AZ 85222

City, State, Zip:

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JUN 13 1997

ARIZONA CORP. COMMISSION  
CORPORATIONS DIVISION

1. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation in Arizona.

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> 1. Accounting          | <input type="checkbox"/> 16. General Consulting  | <input type="checkbox"/> 31. Restaurant/Bar   |
| <input type="checkbox"/> 2. Advertising         | <input type="checkbox"/> 17. Health Care         | <input type="checkbox"/> 32. Retail Sales   |
| <input type="checkbox"/> 3. Aerospace           | <input type="checkbox"/> 18. Hotel/Motel         | <input type="checkbox"/> 33. Science/Research   |
| <input type="checkbox"/> 4. Agriculture         | <input type="checkbox"/> 19. Import/Export       | <input type="checkbox"/> 34. Sports/Sporting Events   |
| <input type="checkbox"/> 5. Architecture        | <input type="checkbox"/> 20. Insurance           | <input type="checkbox"/> 35. Tax Services   |
| <input type="checkbox"/> 6. Attorneys at Law    | <input type="checkbox"/> 21. Legal Services      | <input type="checkbox"/> 36. Technology (Computer)  |
| <input type="checkbox"/> 7. Banking/Finance     | <input type="checkbox"/> 22. Manufacturing       | <input type="checkbox"/> 37. Technology (General)   |
| <input type="checkbox"/> 8. Barbers/Cosmetology | <input type="checkbox"/> 23. Medicine/Dentistry  | <input type="checkbox"/> 38. Television/Radio   |
| <input type="checkbox"/> 9. Construction        | <input type="checkbox"/> 24. Mining              | <input type="checkbox"/> 39. Tourism/Convention Services                                      |
| <input type="checkbox"/> 10. Contractor         | <input type="checkbox"/> 25. News Media          | <input type="checkbox"/> 40. Transportation   |
| <input type="checkbox"/> 11. Credit/Collection  | <input type="checkbox"/> 26. Pharmaceutical      | <input type="checkbox"/> 41. Utilities  |
| <input type="checkbox"/> 12. Education          | <input type="checkbox"/> 27. Publishing/Printing | <input type="checkbox"/> 42. Veterinary Medicine/<br>Animal Care                              |
| <input type="checkbox"/> 13. Engineering        | <input type="checkbox"/> 28. Ranching/Livestock  | <input checked="" type="checkbox"/> 43. Other <u>MISC FABRICATED</u><br><u>METAL PRODUCTS</u> |
| <input type="checkbox"/> 14. Entertainment      | <input type="checkbox"/> 29. Real Estate         |   |
| <input type="checkbox"/> 15. Farming            | <input type="checkbox"/> 30. Resort              |   |

*Relw/pt 7/15/97*

ACC USE ONLY	
Fee	\$ _____
Penalty	\$ _____
Total	\$ _____

2. NUMBER OF EMPLOYEES: Please check one. (For statistical purposes only.)

25 or Less ☒ 26 - 100 ☐ 101 - 500 ☐ Over 500 ☐

3. ~ ~If appointing a new statutory agent, the new agent MUST consent to that appointment and PRESIDENT ~ ~  
~ ~ or VICE PRESIDENT must sign this report. ~ ~

I, (individual) or We, (corporation) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Statutory Agent Name

Address

Signature

City, State, Zip

4. **CAPITALIZATION:** (NOTE: Business Trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.)

Number of Shares Authorized	Class	Series	Par Value
2,500	COMMON		\$100

Number of Shares Issued	Class	Series	Par Value
222	COMMON		\$100

5. **OFFICERS** (If no changes since last report, check here ☒ and go on to Section 6.)

**PRESIDENT:** TERRY L. EMIG

Address: 701 W. 2ND ST.

CASA GRANDE, AZ 85222

Date taking office: 10/01/93

**SECRETARY:** MICHELE  
MICHELLE EMIG

Address: 701 W. 2ND ST.

CASA GRANDE, AZ 85222

Date taking office: 10/01/93

**VICE PRESIDENT:** MICHELE  
MICHELLE EMIG

Address: 701 W. 2ND ST.

CASA GRANDE, AZ 85222

Date taking office: 10/01/93

**TREASURER:** TERRY L. EMIG

Address: 701 W. 2ND ST.

CASA GRANDE, AZ 85222

Date taking office: 10/01/93

6. **DIRECTORS** (If no changes since last report, check here ☒ and go on to Section 7.)

**NAME:** TERRY L. EMIG

Address: 701 W. 2ND ST.

CASA GRANDE, AZ 85222

Date taking office: 10/01/93

**NAME:** \_\_\_\_\_

Address: \_\_\_\_\_

Date taking office:    /   /   

**NAME:** MICHELE  
MICHELLE EMIG

Address: 701 W. 2ND ST.

CASA GRANDE, AZ 85222

Date taking office: 10/01/93

**NAME:** \_\_\_\_\_

Address: \_\_\_\_\_

Date taking office:    /   /   

7. **SHAREHOLDERS** of record holding more than 20% of any class of shares issued by the corporation or having more than 20% beneficial interest in the corporation. If none, so state.

(If no changes since last report, check here ☒ and go on to Section 8.)

**NAME** TERRY EMIG

**NAME** \_\_\_\_\_

**NAME** \_\_\_\_\_

**NAME** \_\_\_\_\_

~ ~ Attach Additional Sheets if Necessary ~ ~



RICHARD C. KARPINSKI, LTD.  
A Professional Corporation  
ROBERT K. BANTA, CPA  
DANIEL L. HASLEY, CPA

June 5, 1997

Arizona Corporation Commission

Re: Annual report of VALLEY PUMP & MACHINE WORKS, INC.  
As of September 30, 1996

We have compiled the statement of financial condition - balance sheet of the above named company for the date indicated above and included in the accompanying prescribed form, in accordance with the Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

Our compilation was limited to presenting in the form prescribed by the Arizona Corporation Commission information that is the representation of management. We have not audited or reviewed the financial statement referred to above and, accordingly, do not express an opinion or any other form of assurance on it.

This financial statement is presented in accordance with the requirements of the Arizona Corporation Commission, which differ from generally accepted accounting principles and do not include all the disclosures required by generally accepted accounting principles. Accordingly, this financial statement is not designed for those who are not informed about such differences.

*Karpinski, Banta & Hasley, P.L.C.*

KARPINSKI, BANTA & HASLEY, P.L.C.  
Certified Public Accountants

**Schedule L Balance Sheets**

	Beginning of tax year		End of tax year	
	(a)	(b)	(c)	(d)
<b>Assets</b>				
1 Cash		21,055.		63,707.
2a Trade notes and accounts receivable	137,107.		118,504.	
b Less allowance for bad debts	( )	137,107.	( )	118,504.
3 Inventories		15,000.		15,000.
4 U.S. government obligations				
5 Tax-exempt securities				
6 Other current assets Stmt 8		27,844.		1,750.
7 Loans to stockholders		39,241.		35,430.
8 Mortgage and real estate loans				
9 Other investments				
10a Buildings and other depreciable assets	289,318.		291,885.	
b Less accumulated depreciation	( 224,036. )	65,282.	( 261,626. )	30,259.
11a Depletable assets				
b Less accumulated depletion	( )		( )	
12 Land (net of any amortization)				
13a Intangible assets (amortizable only)				
b Less accumulated amortization	( )		( )	
14 Other assets Stmt 9		921.		921.
15 Total assets		306,450.		265,571.
<b>Liabilities and Stockholders' Equity</b>				
16 Accounts payable		54,482.		33,562.
17 Mortgages, notes, bonds payable in less than 1 year		20,000.		20,000.
18 Other current liabilities Stmt 10		14,352.		14,650.
19 Loans from stockholders				
20 Mortgages, notes, bonds payable in 1 year or more		109,883.		64,325.
21 Other liabilities				
22 Capital stock: a Preferred stock				
b Common stock	23,200.	23,200.	23,200.	23,200.
23 Paid-in or capital surplus				
24 Retained earnings - Appropriated (attach schedule)				
25 Retained earnings - Unappropriated		106,733.		132,034.
26 Less cost of treasury stock		( 22,200. )		( 22,200. )
27 Total liabilities and stockholders' equity		306,450.		265,571.

**9.A. CERTIFICATE OF DISCLOSURE (A.R.S. § 10-128)**

Has any person serving either by election or appointment as officers, directors, trustees, incorporators and persons controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation:

1. Been convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate;
2. Been convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate;
3. Been or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order:

- (a) involved the violation of fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) involved the violation of the consumer fraud laws of that jurisdiction, or
- (c) involved the violation of the antitrust or restraint of trade laws of that jurisdiction?

YES \_\_\_\_\_

NO ✓

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |   |   |
|---|---|
| 1. Full name and prior names used.                          | 5. Date and location of birth.  |
| 2. Full birth name.   | 6. Social Security Number   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |   |

**9.B. STATEMENT OF BANKRUPTCY (A.R.S. § 10-128.01)**

Are you currently in federal bankruptcy proceedings, and if so, under which chapter of federal bankruptcy law is the action filed and on what date?

Yes \_\_\_\_\_ Chapter \_\_\_\_\_ Date Filed \_\_\_\_\_ Case Number \_\_\_\_\_ No ✓

10. This report must be executed by the corporation and attested by its president, a vice-president, secretary, assistant secretary or treasurer. (If the corporation is in the hands of a receiver or trustee, it shall be executed on behalf of the corporation.)

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

By J. L. F. F. F. Date 16-12-97 By Michelle E. Omig Date 16-12-97

Title PRESIDENTTitle SECRETARY