



STATE OF ARIZONA  
CORPORATION COMMISSION



NONPROFIT CORPORATION ANNUAL REPORT  
& CERTIFICATE OF DISCLOSURE  
FOREIGN / DOMESTIC

FOR FISCAL YEAR ENDING 06/30/1996 DUE ON OR BEFORE 10/15/1996

The following information is required by A.R.S. §10-1081 for all domestic and foreign nonprofit corporations authorized to conduct affairs in Arizona. The Commission's authority to prescribe this form is A.R.S. §10-1092. MAKE CHANGES OR CORRECTIONS WHERE NECESSARY.

Corporation File: 0052672-1  
Corporation Name: THE NORTHERN ARIZONA UNIVERSITY FOUNDATION, INC.  
Address: NAU OLD MAIN BLDG #307  
P.O. BOX 4094

City, State, Zip: FLAGSTAFF, AZ 86011  
Domicile: ARIZONA  
Type: NON-PROFIT  
Arizona Statutory Agent: A DEAN PICKETT  
Street Address: 222 E. BIRCH  
(NOT P.O. BOX)

RECEIVED  
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ARIZONA CORP COMMISSION  
CORPORATIONS DIVISION

City, State, Zip: FLAGSTAFF, AZ 86002

1. Check one or more of the categories below which best describe the CHARACTER OF AFFAIRS conducted by your corporation in Arizona.

- |  |   |   |
|--|---|---|
| 1. <input type="checkbox"/> Charitable             | 8. <input type="checkbox"/> Social                | 15. <input type="checkbox"/> Agricultural   |
| 2. <input type="checkbox"/> Benevolent             | 9. <input type="checkbox"/> Fraternal             | 16. <input type="checkbox"/> Horticultural  |
| 3. <input checked="" type="checkbox"/> Educational | 10. <input type="checkbox"/> Literary             | 17. <input type="checkbox"/> Animal Husbandry   |
| 4. <input type="checkbox"/> Civic                  | 11. <input type="checkbox"/> Cultural             | 18. <input type="checkbox"/> Homeowners' Association  |
| 5. <input type="checkbox"/> Patriotic              | 12. <input type="checkbox"/> Athletic             | 19. <input type="checkbox"/> Professional, commercial,<br>industrial, or trade association. |
| 6. <input type="checkbox"/> Political              | 13. <input type="checkbox"/> Science/Research     | 20. <input type="checkbox"/> Other  |
| 7. <input type="checkbox"/> Religious              | 14. <input type="checkbox"/> Hospital/Health Care |   |



ACC USE ONLY	
Fee	\$ 10.00
Penalty	\$ 2.00
Total	\$ 12.00

2. NUMBER OF EMPLOYEES: Please check one. (For statistical purposes only.)

25 or Less ☒ 26 - 100 ☐ 101 - 500 ☐ Over 500 ☐

3. ~If appointing a new statutory agent, the new agent MUST consent to that appointment and PRESIDENT or VICE PRESIDENT must sign this report.~

I, (individual) or We, (corporation) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Statutory Agent Name

Address

Signature

City, State, Zip

4. Foreign Corporations list Address in Domicile Jurisdiction:

Street/P. O. Box

City, State, (Country) Zip

5. **OFFICERS** (If no changes since last report, check here \_\_\_ and go on to Section 6.)PRESIDENT: ROBERT KOHNENAddress: 4250 E. CLAREMONTPARADISE VALLEY, AZDate taking office: 09 / 30 / 95VICE PRESIDENT: MARION ELLIOTTAddress: 2888 VISTA LANEYUMA, AZ 85364Date taking office: 09 / 30 / 94SECRETARY: MAURY HERMANAddress: 534 N BERTRANDFLAGSTAFF, AZ 86001Date taking office: 09 / 30 / 95TREASURER: GUY ROUSEAddress: 8170E VIA DELA ESCUELASCOTTSDALE, AZ 85258Date taking office: 09 / 30 / 946. **DIRECTORS** (If no changes since last report, check here \_\_\_ and go on to Section 7.)NAME: ARTHUR CARLSONAddress: 8702 N VIA LA SERENAPARADISE VALLEY, AZDate taking office: 09 / 30 / 93NAME: BRUCE CROZIERAddress: 210 W SULLIVANFLAGSTAFF, AZ 86001Date taking office: 09 / 30 / 94NAME: THERESA J. DREWAddress: 14406 S. CANYON DRIVEPHOENIX, AZ 85048Date taking office: 09 / 30 / 95NAME: TONY GABALDONAddress: 914 N 6th STREETPHOENIX, AZ 85004Date taking office: 09 / 30 / 93

~~ Attach Additional Sheets if Necessary ~~

ADDITIONAL OFFICERS & DIRECTORSSECOND VP : JAMES TOPPAddress: 940 PONDEROSA TRAILPRESCOTT, AZ 86303Date taking office: 09/ 30/ 94DIRECTOR : DR. FRANK MAHERAddress: 77 W FOREST, STE 301FLAGSTAFF, AZ 86001Date taking office: 09/ 30/ 94DIRECTOR : GERRY NABOURSAddress: 1015 W. BEAL ROADFLAGSTAFF, AZ 86001Date taking office: 09/ 30/ 96DIRECTOR : TOM OLIPHANTAddress: 254 LYNX DRIVESEDONA, AZ 86336Date taking office: 09/ 30/ 93DIRECTOR : BETTY PICKARDAddress: 2131 N TIMBERLINE ROADFLAGSTAFF, AZ 86004Date taking office: 09/ 30/ 95DIRECTOR : LARRY SCHNEBLYAddress: 2641 N ARCADIATUCSON, AZ 85712Date taking office: 09/ 30/ 95DIRECTOR : ARTHUR C ATONNAAddress: 12020 S WARNER-ELLIOTTPHOENIX, AZ 85044Date taking office: 09/ 30/ 95DIRECTOR : BEVERLY MILLERAddress: 100 RACQUET ROADSEDONA, AZ 86336Date taking office: 09/ 30/ 95DIRECTOR : PATRICK NACKARDAddress: 4900 E. RAILHEAD AVEFLAGSTAFF, AZ 86004Date taking office: 09/ 30/ 93DIRECTOR : CHARLES ORRAddress: 1212 GORDON DRIVEKINGMAN, AZ 86401Date taking office: 09/ 30/ 94DIRECTOR : MICHAEL RIORDANAddress: 1700 N. FAIRWAY DRIVEFLAGSTAFF, AZ 86004Date taking office: 09/ 30/ 95DIRECTOR : PETER STILLEYAddress: RT. 4, FT. VALLEY RDFLAGSTAFF, AZ 86001Date taking office: 09/ 30/ 93

ADDITIONAL OFFICERS & DIRECTORS

DIRECTOR : LARRY TAYLOR

Address: 9179 E WINCHCOMB DR

SCOTTSDALE, AZ 85260

Date taking office: 09/ 30/ 94

DIRECTOR : JOSEPH WORISCHECK

Address: 1001 N FIRST STREET

PHOENIX, AZ 85001

Date taking office: 09/ 30/ 94

DIRECTOR : JAMES H BOLIN

Address: 1524 PORT AU PRINCE LN

PHOENIX, AZ 85023

Date taking office: 09/ 30/ 96

: \_\_\_\_\_

Address: \_\_\_\_\_

Date taking office: \_\_\_\_/\_\_\_\_/\_\_\_\_

Address: \_\_\_\_\_

Date taking office: \_\_\_\_/\_\_\_\_/\_\_\_\_

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Date taking office: \_\_\_\_/\_\_\_\_/\_\_\_\_



**Guest,  
Schutte  
& Cospers**

Certified Public Accountants  
L.L.P.

November 12, 1996

Arizona Corporation Commission

Re: Annual report of THE NAU FOUNDATION, INC.  
As of June 30, 1996

We have compiled the statement of financial condition - balance sheet (Section H) of The NAU Foundation, Inc. as of the date indicated above and included in the accompanying prescribed form, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

Our compilation was limited to presenting in the form prescribed by the Arizona Corporation Commission information that is the representation of management. We have not audited or reviewed the financial statement referred to above and, accordingly, do not express an opinion or any other form of assurance on it.

These financial statements are presented in accordance with the requirements of the Arizona Corporation Commission, which differ from generally accepted accounting principles. Accordingly, these financial statements are not designed for those who are not informed about such differences.

Guest, Schutte & Cospers  
Certified Public Accountants, CPAs, L.L.P.

7. STATEMENT OF FINANCIAL CONDITION (Required by A.R.S. § 10-1081.A.6.)

You must submit a statement of the corporation's financial condition. Any reasonable schedule will be accepted provided it reflects the intent of the law, as determined by the Commission. Several suggestions for information you may submit to satisfy this requirement include the following:

- Attach a copy of Page 2, Form 99 filed with the Arizona Department of Revenue; OR
- a copy of the corporation's Charitable Organization Financial Statement as filed with the Arizona Secretary of State pursuant to A.R.S. §44-6552; OR
- a copy of your treasurer's report (or financial statement) prepared for this fiscal year; OR
- a copy of the financial statement you prepare for the benefit of your members; OR
- You may simply use the Balance Sheet below.

**BALANCE SHEET****ASSETS**

## Current Assets:

Cash	495,160	
Trade notes and accounts receivable (less allowance for bad debts)	220,681	
Inventories		
Other current assets	20,060,133	
<b>Total Current Assets</b>		<b>\$ 20,775,974</b>
Land, buildings and other fixed assets (net of accumulated depreciation)	603,950	
Other long-term assets	331,072	
<b>Total Assets</b>		<b>\$ 21,710,996</b>

**LIABILITIES**

## Current Liabilities:

Accounts Payable	\$ 7,500	
Mortgages, notes, bonds (payable in less than 1 year)	14,422	
Other current liabilities		
<b>Total Current Liabilities</b>		<b>21,922</b>
Mortgages, notes, bonds (payable in more than 1 year)		206,478
<b>Fund Balances:</b>		
Restricted	19,642,117	
Unrestricted	1,840,479	
<b>Total Fund Balances</b>		<b>21,482,596</b>
<b>Total Liabilities and Fund Balances</b>		<b>\$ 21,710,996</b>

See Accountants' Compilation Report

**8.A. CERTIFICATE OF DISCLOSURE (A.R.S. § 10-1084)**

Has any person serving either by election or appointment as officers, directors, trustees, or incorporators:

1. Been convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate;
2. Been convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate;
3. Been or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order:
  - (a) involved the violation of fraud or registration provisions of the securities laws of that jurisdiction, or
  - (b) involved the violation of the consumer fraud laws of that jurisdiction, or
  - (c) involved the violation of the antitrust or restraint of trade laws of that jurisdiction?

YES \_\_\_\_\_

NO x

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |   |   |
|---|---|
| 1. Full name and prior names used.                          | 5. Date and location of birth.  |
| 2. Full birth name.   | 6. Social Security Number   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |   |

**8.B. STATEMENT OF BANKRUPTCY (A.R.S. § 10-1083)**

Are you currently in federal bankruptcy proceedings, and if so, under which chapter of federal bankruptcy law is the action filed and on what date?

Yes \_\_\_\_\_ Chapter \_\_\_\_\_ Date Filed \_\_\_\_\_ Case Number \_\_\_\_\_ No x

9. This report must be executed by the corporation and attested by it's president, a vice-president, secretary, assistant secretary or treasurer. (If the corporation is in the hands of a receiver or trustee, it shall be executed on behalf of the corporation.)

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

By Bruce Crozier Date 11-15-96 By Maury Herman Date 11-15-96  
BRUCE CROZIER MAURY HERMAN  
 Title secretary (effective 9/96) Title past-secretary