



STATE OF ARIZONA  
CORPORATION COMMISSION



NONPROFIT CORPORATION ANNUAL REPORT  
& CERTIFICATE OF DISCLOSURE  
FOREIGN / DOMESTIC

FOR FISCAL YEAR ENDING 05/31/1996

DUE ON OR BEFORE 09/15/1996

The following information is required by A.R.S. §10-1081 for all domestic and foreign nonprofit corporations authorized to conduct affairs in Arizona. The Commission's authority to prescribe this form is A.R.S. §10-1092. MAKE CHANGES OR CORRECTIONS WHERE NECESSARY.

Corporation File: -0040277-3  
Corporation Name: THE CHARLES H. TWEED INTERNATIONAL FOUNDATION FOR ORTHODONTI  
Address: 2620 E BROADWAY

City, State, Zip: TUCSON  
Domicile: ARIZONA  
Type: NON-PROFIT

AZ 85716 RECEIVED  
SEP 03 1996  
AUG 21 1996

Arizona Statutory Agent: FRANCEE CHENOWETH ARIZONA CORP. COMMISSION ARIZONA CORP. COMMISSION  
Street Address: 2620 E BROADWAY CORPORATIONS DIVISION CORPORATIONS DIVISION  
(NOT P.O. BOX)

City, State, Zip: TUCSON AZ 85716-

1. Check the one category below which best describes the CHARACTER OF AFFAIRS conducted by your corporation in Arizona.

1. ☐ Charitable 8. ☐ Social 15. ☐ Agricultural  
2. ☐ Benevolent 9. ☐ Fraternal 16. ☐ Horticultural  
3. ☒ Educational 10. ☐ Literary 17. ☐ Animal Husbandry  
4. ☐ Civic 11. ☐ Cultural 18. ☐ Homeowners' Association  
5. ☐ Patriotic 12. ☐ Athletic 19. ☐ Professional, commercial,  
6. ☐ Political 13. ☐ Science/Research industrial, or trade association  
7. ☐ Religious 14. ☐ Hospital/Health Care 20. ☐ Other

05/95

ACC USE ONLY	
Fee	\$ 10-
Penalty	\$
Total	\$

2. NUMBER OF EMPLOYEES: Please check one. (For statistical purposes only.)

25 or Less ☒ 26 - 100 ☐ 101 - 500 ☐ Over 500 ☐

3. -- If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below --  
-- and PRESIDENT or VICE PRESIDENT must sign page 4 of this report. --

I, (individual) or We, (corporation) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Statutory Agent Name

Address

Signature

City, State, Zip

4. Foreign Corporations list Address in Domicile Jurisdiction:

Street/P. O. Box

City, State, (Country) Zip

-- PLEASE MAKE CORRECTIONS ON A SEPARATE SHEET --

5. OFFICERS (If no changes since last report, check here \_\_\_ and go on to Section 6.)

See Attached

PRESIDENT: ANDRE-JEAN HORN VICE PRESIDENT: \_\_\_\_\_

Address: 24 AVE GL DE GAULLE Address: \_\_\_\_\_

MONTFORT-L'AMAURY, FN 78490- \_\_\_\_\_

Date taking office: \_\_\_\_\_ Date taking office: \_\_\_\_\_

SECRETARY: JAMES L VADEN TREASURER: JAMES L VADEN

Address: 308 E 1ST ST Address: 308 E 1ST ST

COOKEVILLE, TN 38501- COOKEVILLE, TN 38501-

Date taking office: 92- - Date taking office: 92- -

6. DIRECTORS (If no changes since last report, check here \_\_\_ and go on to Section 7.)

NAME: H DAVID BARU NAME: JOHN F BUNK

\* Address: 3250 PLYMOUNT RD #302 Address: 5102 AVE H

ANN ARBOR, MI 48105- ROSENBERG, TX 77471-

Date taking office: \_\_\_\_\_ Date taking office: \_\_\_\_\_

NAME: SALVATORE CALDERONE NAME: JACK G DALE

Address: 90143 VIA LA MARMORA 82 Address: 1849 YONGE ST #903

PALERMO SICILY, IT - CANADA M4S1Y2, ON 00000-

Date taking office: \_\_\_\_\_ Date taking office: 92- -

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<u>PRESENT BOARD</u>	<u>YEAR ELECTED</u>	<u>END OF TERM</u>
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L. Levern Merrifield Chairman of the Board	1982	
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Jack G. Dale Co-Chairman of the Board	1992	
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Andre Jean Horn President	1994	
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Thomas R. Gebeck President-Elect	1994	
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James L. Vaden Secretary-Treasurer	1992	
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*See attached  
for addresses*

<u>DIRECTORS</u>		
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H. David Baru	1992	1998
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John F. Bunk	1990	1996
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Salvatore Calderone	1990	1996
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Il Bong Kim	1992	1998
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Takemasa Ozaki	1990	1996
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C. Edwin Polk	1992	1998
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Kenneth B. Rowan	1994	2000
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Dennis M. Ward	1994	2000
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Philip C. Warren	1994	2000
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<u>EX-OFFICIO</u>
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S. Edwin Noffel Past-President
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<u>COURSE DIRECTOR</u>
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Herbert A. Klontz	1983
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<u>RESEARCH CO-CHAIRMEN</u>
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James L. Ferguson	1993
George S. Harris	1994

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CHARLES H. TWEED INT'L FOUNDATION BOARD OF DIRECTORS AND ADDRESSES

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Dr. L. Levern Merrifield  
Route 7 box 389  
Ponca City, OK 74604

Dr. Jack G. Dale  
1849 Yonge St., Ste. 903  
Toronto, Ont., Canada  
M4S 1Y2

Dr. Andre-Jean Horn  
24 Av. Gl. de Gaulle  
78490 Montfort-L'Amaury, France

Dr. Thomas R. Gebeck  
660 Cadieux Rd.  
Grosse Pointe, MI 48230

Dr. James L. Vaden  
308 E. First Street  
Cookeville, TN 38501

Dr. H. David Baru  
3250 Plymouth Rd., #302  
Ann Arbor, MI 48105

Dr. John F. Bunk  
5102 Avenue H  
Rosenberg, TX 77471

Dr. Salvatore Calderone  
Via La Marmora 82  
90143 Palermo, Sicily, Italy

Dr. Il-Bong Kim  
#1014 Unesco Bldg. Jung-Gu  
Seoul 100, Korea

Dr. Takemasa Ozaki  
Yamanote Bldg. 9F  
1-19-12 Minami-Ikebukuro  
Toshimaku, Tokyo 171, Japan

Dr. C. Edwin Polk  
1518 W. 8th  
Stillwater, OK 74074

Dr. Kenneth B. Rowan  
1312 Southwest Blvd.  
Jefferson City, MO 65101

Dr. Dennis M. Ward  
32745 Walker Rd.  
Avon Lake, OH 44012

Dr. Philip C. Warren  
9416 S. Main St.  
Plymouth, MI 48170

Dr. S. Edwin Noffel  
#5 Doctors' Park  
Cape Girardeau, MO 63701

Dr. Herbert A. Klontz  
3621 NW 63rd  
Oklahoma City, OK 73116

Dr. James L. Ferguson  
100 Covey Drive, Ste. 301  
Franklin, TN 37064

Dr. George S. Harris  
2012 Tenth Street  
Menominee, MI 49858

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HOLM & HESTAND, CPAs, P.C.  
CERTIFIED PUBLIC ACCOUNTANTS  
2101 NORTH COUNTRY CLUB ROAD, SUITE 104  
TUCSON, ARIZONA 85716

DOUGLAS R. HOLM, CPA  
EMMA K. HESTAND, CPA

TELEPHONE  
(602) 326-8483  
FAX (602) 795-5191

COMPILATION ENGAGEMENT REPORT

We have compiled the balance sheet of The Charles H. Tweed International Foundation For Orthodontic Research as of May 31, 1996 included in the accompanying prescribed form in accordance with standards established by the American Institute of Certified Public Accountants.

Our compilation was limited to presenting in the form prescribed by the Arizona Corporation Commission information that is the representation of management. We have not audited or reviewed the financial statement referred to above, and accordingly, do not express an opinion or any other form of assurance on it.

This financial statement is presented in accordance with the requirements of the Arizona Corporation Commission, which differ from generally accepted accounting principles. Accordingly, this financial statement is not designed for those who are not informed about such differences.

*Holm, Hestand CPA, P.C.*

August 19, 1996

**Part IV** Balance Sheets (See instructions on pages 17-19.)

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**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A) Beginning of year	(B) End of year
45	Cash—non-interest-bearing . . . . .	29899	45 32749
46	Savings and temporary cash investments . . . . .	99141	46 77659
47a	Accounts receivable . . . . .	118506	47c 118506
b	Less: allowance for doubtful accounts . . . . .	-	
48a	Pledges receivable . . . . .		48c
b	Less: allowance for doubtful accounts . . . . .		49
49	Grants receivable . . . . .		50
50	Receivables from officers, directors, trustees, and key employees (attach schedule) . . . . .		
51a	Other notes and loans receivable (attach schedule) . . . . .		51c
b	Less: allowance for doubtful accounts . . . . .		52
52	Inventories for sale or use . . . . .		53
53	Prepaid expenses and deferred charges . . . . .	601086	54 851273
54	Investments—securities (attach schedule) . . . . .		
55a	Investments—land, buildings, and equipment: basis . . . . .		55c
b	Less: accumulated depreciation (attach schedule) . . . . .		56
56	Investments—other (attach schedule) . . . . .	402723	57c 168967
57a	Land, buildings, and equipment: basis . . . . .	233756	58 860
b	Less: accumulated depreciation (attach schedule) . . . . .		
58	Other assets (describe ► WORKMENS COMP. DEP.) . . . . .	183968	
59	<b>Total assets</b> (add lines 45 through 58) (must equal line 74) . . . . .	1007281	59 1250014
60	Accounts payable and accrued expenses . . . . .	26701	60 21253
61	Grants payable . . . . .		61
62	Deferred revenue . . . . .	20700	62 27640
63	Loans from officers, directors, trustees, and key employees (attach schedule) . . . . .		63
64a	Tax-exempt bond liabilities (attach schedule) . . . . .		64a
b	Mortgages and other notes payable (attach schedule) . . . . .		64b
65	Other liabilities (describe ► DUE TO STUDY COURSE DIRECTORS) . . . . .	64664	65 69396
66	<b>Total liabilities</b> (add lines 60 through 65) . . . . .	112065	66 118289
67	<b>Organizations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.	263456	67 1131725
68	Unrestricted . . . . .	607916	68
69	Temporarily restricted . . . . .	23844	69
70	Permanently restricted . . . . .		70
71	<b>Organizations that do not follow SFAS 117, check here</b> <input type="checkbox"/> and complete lines 70 through 74.		71
72	Capital stock, trust principal, or current funds . . . . .		72
73	<b>Total net assets or fund balances</b> (add lines 67 through 69 OR lines 70 through 72; column (A) must equal line 19 and column (B) must equal line 21) . . . . .	895216	73 1131725
74	<b>Total liabilities and net assets/fund balances</b> (add lines 66 and 73) . . . . .	1007281	74 1250014

**8.A. CERTIFICATE OF DISCLOSURE (A.R.S. §10-1084)**

Has any person serving either by election or appointment as officers, directors, trustees, or incorporators:

1. Been convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate;
2. Been convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate;
3. Been or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order:
  - (a) involved the violation of fraud or registration provisions of the securities laws of that jurisdiction, or
  - (b) involved the violation of the consumer fraud laws of that jurisdiction, or
  - (c) involved the violation of the antitrust or restraint of trade laws of that jurisdiction?

YES \_\_\_\_\_ NO X

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |   |   |
|---|---|
| 1. Full name and prior names used.                          | 5. Date and location of birth.  |
| 2. Full birth name.   | 6. Social Security Number   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |   |

**8.B. STATEMENT OF BANKRUPTCY (A.R.S. §10-1083)**

Are you currently in federal bankruptcy proceedings, and if so, under which chapter of federal bankruptcy law is the action filed and on what date?

Yes \_\_\_\_\_ Chapter \_\_\_\_\_ Date Filed \_\_\_\_\_ Case Number \_\_\_\_\_ No X

9. This report must be executed by the corporation and attested by its president, a vice-president, secretary, assistant secretary or treasurer. (If the corporation is in the hands of a receiver or trustee, it shall be executed on behalf of the corporation.)

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

By Frances Chenoweth Date 08/20/96 By \_\_\_\_\_ Date \_\_\_\_\_  
Title Administrative Secretary Title \_\_\_\_\_